



NEW YORK STATE  
OFFICE OF ALCOHOLISM  
AND  
SUBSTANCE ABUSE SERVICES  
1450 Western Avenue  
Albany, New York 12203-3526

December 29, 2006

CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

Mr. C. Warren Moses  
Executive Director  
The Children's Aid Society  
105 East 22<sup>nd</sup> Street  
New York, New York 10010

Re: Certificate of Approval #09110157

Dear Mr. Moses:

Enclosed is the above-referenced Certificate of Approval which renews the authorization of The Children's Aid Society to operate a Section 1030.3 drug-free prevention for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, The Children's Aid Society is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified site must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by January 12, 2007 if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin  
Director, Bureau of Certification

Enclosures

cc w/encs.: Richard Hogle  
Steven Rabinowitz  
Richard Frakes  
Lynn DeFruscio  
Lloyd I. Sederer, M.D. (NYC Dept. of Health and Mental Hygiene)  
Angela Diaz, M.D. (Board President, The Children's Aid Society)  
Lorna Morgan (Site Supervisor, The Children's Aid Society)

*The OASAS mission is to improve the lives of New Yorkers by ensuring quality prevention and treatment services for chemical dependence and compulsive gambling, and promoting recovery from addiction.*

<http://www.oasas.state.ny.us>  
An Equal Opportunity/Affirmative Action Employer



**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF LEGAL AFFAIRS -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	The Children's Aid Society
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	
Room/ Floor	Basement & 1st Floor
Street Address:	14-32 West 118th Street
City and Zip Code:	New York, 10026

Provider Number:	20660	PRU Number	1253
Operating Certificate Number:	0911 0157	Capacity:	
Recertification Review Number:	NY06223		
Recertification Review Conducted:	11/8/2006 to: 11/10/2006		

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

<u>Standard Review Area</u>	<u>COMPLIANCE STATUS</u>		
	<u>Quality Indicator</u>	<u>Overall</u>	<u>Renewal Term</u>
▶ Case Records	_____	3.84	3 years
▶ Service Management	_____	4.00	3 years
▶ Facility Requirements and General Safety	_____	3.71	3 years
▶ Red Flag Deficiency(ies)			

(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

**FISCAL VIABILITY REVIEWS**

- |                                     |   |                                     |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/>            | 1. Fiscal viability package not submitted.  | 3 months                            |
| <input type="checkbox"/>            | 2. Data received insufficient to determine fiscal viability.  | 3 months                            |
| <input type="checkbox"/>            | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding.  | 3 months                            |
| <input type="checkbox"/>            | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 1 year                              |
| <input type="checkbox"/>            | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding.                                       | 1 year                              |
| <input type="checkbox"/>            | 6. Current financial position viable; however, overall financial position NOT viable.   | 1 year                              |
| <input checked="" type="checkbox"/> | 7. Current and overall financial positions viable.  | Per Recertification Review Results. |
| <input type="checkbox"/>            | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term. If the lowest recertification review score achieved is 2.50 or less (Minimal, Noncompliance or Red Flag Deficiency(ies) is Noted), the lower of the RECERTIFICATION REVIEW RESULTS or FISCAL VIABILITY REVIEW dictate the renewal term.

State of New York

# Office of Alcoholism and Substance Abuse Services

Albany, New York

## CERTIFICATE OF APPROVAL

The Children's Aid Society

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

Basement & 1st Floor  
14-32 West 118th Street  
New York, New York 10026

Program Name(s)

Dunlevy-Milbank Children's Center

Service(s)

● Drug-Free Prevention Services (14 NYCRR 1030.3)



*Richard R. Hogle*

RICHARD R. HOGLE  
ACTING GENERAL COUNSEL

09110157

CERTIFICATE NUMBER

EFFECTIVE DATE: December 01, 2006

Renewed

EXPIRATION DATE: November 30, 2009