



**OASAS**

Improving Lives.

NEW YORK STATE  
OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES  
*Addiction Services for Prevention, Treatment, Recovery*

GOVERNOR  
David A. Paterson

COMMISSIONER  
Karen M. Carpenter-Palumbo

March 20, 2009

UPS GROUND

Ms. Susan Hooker  
Executive Director  
Hornell Area Concern for Youth, Inc.  
76 East Main Street  
Hornell, NY 14843

Re: *Conditional* Operating Certificate #C090800202

Dear Ms. Hooker:

Enclosed is *Conditional* Operating Certificate #C090800202 issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate provides temporary authorization for Hornell Area Concern for Youth, Inc. to operate a Section 1030.3 drug-free prevention service for six months, effective March 1, 2009 through August 31, 2009, at 76 East Main Street, 1<sup>st</sup> Floor, Room 1, Hornell.

The term of this *Conditional* Operating Certificate is based on the results of OASAS' January 5-6, 2009 recertification (regulatory compliance) review, at which time Hornell Area Concern for Youth, Inc. was found to be in non compliance with OASAS regulations. The enclosed "Operating Certificate Renewal Compliance Summary Sheet" identifies the quality indicator(s) that resulted in this compliance status.

A *Conditional* Operating Certificate provides temporary authorization only and confers no right to a standard Operating Certificate. A standard Operating Certificate may be issued upon determination by OASAS that Hornell Area Concern for Youth, Inc.'s corrective action plan has been implemented and that this service is operating in compliance with the applicable regulations. Such determination will be based on the next recertification (regulatory compliance) review.

Please be aware that continued failure to operate the service in compliance with the applicable regulations may result in the revocation, suspension, or limitation of the Operating Certificate.

Ms. Susan Hooker  
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OASAS has a Technical Assistance Unit that can support your efforts to attain and maintain established standards as well as implement efficient and effective management practices. To access technical assistance, please contact Mr. Joseph Chelales, Technical Assistance Unit Manager, at (646) 728-4644.

Each provider operating an OASAS-certified service must display a current Operating Certificate on-site in a location visible to the public. *Please examine the Operating Certificate carefully and contact the Bureau of Certification by April 3, 2009 if the Operating Certificate does not accurately reflect your services and/or locations.*

Sincerely,



Virginia Martin  
Director, Bureau of Certification

Enclosures

cc w/encs.: Charles W. Monson  
Kathy Murphy  
Elliott Lefkowitz  
Thomas Haschmann  
Ilyana Meltzer  
Rick Olm  
Joseph Chelales  
Janet Rucki  
Fran Weisberg (Finger Lakes HSA)  
Rate Based Provider Unit (DOH)  
Scott LaVigne (Steuben County)  
Chad Mullen (President of the Board of Directors, Hornell Area Concern for Youth,  
Inc.)  
Lisa A. Oliver (Director of Counseling, Hornell Area Concern for Youth, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Hornell Area Concern for Youth, Inc.
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	Room/ Floor:	1st Floor - Room 1	Provider Number:	397	PRU Number:	1607
Street Address:	76 East Main Street		Operating Certificate Number:	0908 0202	Capacity:	
City and Zip Code:	Hornell, 14843		Recertification Review Number:	AL08058		
			Recertification Review Conducted:	1/5/2009 to: 1/6/2009		

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

<u>Standard Review Area</u>	<u>COMPLIANCE STATUS</u>		
	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>
▶ Case Records		1.04	6 months
▶ Service Management		3.70	3 years
▶ Facility Requirements and General Safety		3.53	3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)			
▶ Quality Services Review			N/A

**FISCAL VIABILITY REVIEWS**

- |                                     |   |                                     |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/>            | 1. Fiscal viability package not submitted.  | 3 months                            |
| <input type="checkbox"/>            | 2. Data received insufficient to determine fiscal viability.  | 3 months                            |
| <input type="checkbox"/>            | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding.  | 3 months                            |
| <input type="checkbox"/>            | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 1 year                              |
| <input type="checkbox"/>            | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding.                                       | 1 year                              |
| <input type="checkbox"/>            | 6. Current financial position viable; however, overall financial position NOT viable.   | 1 year                              |
| <input checked="" type="checkbox"/> | 7. Current and overall financial positions viable.  | Per Recertification Review Results. |
| <input type="checkbox"/>            | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is 6 months.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York  
Office of Alcoholism and Substance Abuse Services  
Albany, New York  
**CERTIFICATE OF APPROVAL**

Hornell Area Concern for Youth, Inc.

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

1st Floor  
Room 1  
76 East Main Street  
Hornell, New York 14843

Program Name(s)

Service(s)

- Drug-Free Prevention Services (14 NYCRR 1030.3) Addendum Attached



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

C090800202

CERTIFICATE NUMBER

CONDITIONAL

EFFECTIVE DATE: March 01, 2009

EXPIRATION DATE: August 31, 2009

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER C09080202

Hornell Area Concern for Youth, Inc.

OTHER CERTIFIED SITES/SERVICE(S)

As of March 01, 2009

<u>Site</u>	<u>Service(s)</u>	<u>Site</u>	<u>Service(s)</u>
Bryant School Principal's Office, 1st Floor Room 100A 173 Terry Street Hornell, New York 14843	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Canisteo-Greenwood Central School District Ground Floor Rooms 109 & 153 120 Greenwood Street Canisteo, New York 14823	• Drug-Free Prevention Services (14 NYCRR 1030.3)
Canisteo-Greenwood Central School District Psych. Room, 1st Floor Room 178B 84 Greenwood Street Canisteo, New York 14823	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Greenwood Elementary/Middle School 1st Floor Room 109 Main Street/2661 State Route 248 Greenwood, New York 14839	• Drug-Free Prevention Services (14 NYCRR 1030.3)
Hornell High School Main Office, Conference Room 111 & Guidance Office, Conference Room, 1st Floor 134 Seneca Street Hornell, New York 14843	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Intermediate School 1st Floor Nurse's Office, Room 108 71 Buffalo Street Hornell, New York 14843	• Drug-Free Prevention Services (14 NYCRR 1030.3)
Jasper-Troupsburg Elementary School 2nd Floor Room 202 908 Main Street Troupsburg, New York 14885	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Jasper-Troupsburg High School Main Building 1st Floor Room C100C 3769 North Main Street Jasper, New York 14855	• Drug-Free Prevention Services (14 NYCRR 1030.3)

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER C09080202

# Hornell Area Concern for Youth, Inc.

## OTHER CERTIFIED SITES/SERVICE(S)

As of March 01, 2009

• Drug-Free Prevention Services (14 NYCRR 1030.3)

North Hornell School  
1st Floor  
Conference Room  
4 Avondale Avenue  
Hornell, New York 14843

St. Ann's School  
1st Floor  
Rel. Ed. Room  
27 Erie Avenue  
Hornell, New York 14843

• Drug-Free Prevention Services (14 NYCRR 1030.3)