Eliot Spitzer
Governor

NEW YORK STATE
OFFICE OF ALCOHOLISM
AND
SUBSTANCE ABUSE SERVICES
1450 Western Avenue
Albany, New York 12203-3526

Karen M. Carpenter-Palumbo
Commissioner

March 9, 2007

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. David Chen
Executive Director
Chinese-American Planning Council, Inc.
150 Elizabeth Street
New York, NY 10012

Re: Certificate of Approval #100300222

Dear Mr. Chen:

Enclosed is the above-referenced Certificate of Approval which renews the authorization of Chinese-American Planning Council, Inc. to operate a Part 1030.3 Drug-Free Prevention Service for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Chinese-American Planning Council, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified site must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by March 20, 2007 if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin

Virginia Martin
Director, Bureau of Certification

Enclosures
cc w/encs.: Richard Hogle
Steven Rabinowitz
Richard Frakes
Janet Rucki
Lloyd I. Sederer, M.D. (NYC Dept. of Health and Mental Hygiene)
Chester Lee (President, Chinese-American Planning Council, Inc.)
Don Kao (Program Director, Chinese-American Planning Council, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF LEGAL AFFAIRS -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: Chinese-American Planning Council, Inc.

Certified Program/Service Reviewed: Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:

Room/ Floor: 2nd Floor

Street Address: 1 Orchard Street

City and Zip Code: New York, 10002

Provider Number: 20050 PRU Number 913

Operating Certificate Number: 1003 0222 Capacity:

Recertification Review Number: NY06204

Recertification Review Conducted: 1/17/2007 to: 1/18/2007

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

Compliance Scores	Compliance Ratings	Term of Renewed Operating Certificate
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

Standard Review Area	COMPLIANCE STATUS: Quality Indicator	Overall	Yes/No	Renewal Term
▶ Case Records		3.85		3 years
▶ Service Management		4.00		3 years
▶ Facility Requirements and General Safety		4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				

FISCAL VIABILITY REVIEWS

☐	1.	Fiscal viability package not submitted.	3 months
☐	2.	Data received insufficient to determine fiscal viability.	3 months
☐	3.	Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding.	3 months
☐	4.	Current financial position NOT viable; however, an acceptable financial recovery plan submitted.	1 year
☐	5.	Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding.	1 year
☐	6.	Current financial position viable; however, overall financial position NOT viable.	1 year
☑	7.	Current and overall financial positions viable.	Per Recertification Review Results.
☐	8.	Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).	Per Recertification Review Results.

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term. If the lowest recertification review score achieved is 2.50 or less (Minimal, Noncompliance or Red Flag Deficiency(ies) is Noted), the lower of the RECERTIFICATION REVIEW RESULTS or FISCAL VIABILITY REVIEW dictate the renewal term.

State of New York

Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

Chinese-American Planning Council, Inc.

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site	Program Name(s)	Service(s)
2nd Floor 1 Orchard Street New York, New York 10002	PROJECT REACH	• Drug-Free Prevention Services (14 NYCRR 1030.3)

RICHARD R. HOGLE
ACTING GENERAL COUNSEL

10030222
CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: April 01, 2007
EXPIRATION DATE: March 31, 2010