



NEW YORK STATE
OFFICE OF ALCOHOLISM
AND SUBSTANCE ABUSE SERVICES
1450 Western Avenue
Albany, New York 12203-3526

May 28, 2008

UPS GROUND

Mr. Geoffrey Astles
County Administrator
Ontario County Substance Abuse Services
3019 County Complex Drive
Canandaigua, NY 14424

Re: Certificate of Approval #110500275

Dear Mr. Astles:

The enclosed Certificate of Approval renews the authorization of Ontario County Substance Abuse Services to operate Section 1030.3 drug-free prevention services for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Ontario County Substance Abuse Services is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified service must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by June 12, 2008 if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,


Virginia Martin
Director, Bureau of Certification

Enclosures

Mr. Geoffrey Astles
Page 2 of 2
May 28, 2008

cc w/encs.: Charles W. Monson
Kathy Murphy
Thomas Haschmann
Janet Rucki
Fran Weisberg (Finger Lakes HSA)
William M. Singly, Director (Ontario County)
Theodore Fafinski (Board Chair, Ontario County Substance Abuse Services)
June E. Fisher (Director, Ontario County Substance Abuse Services)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF LEGAL AFFAIRS -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Ontario County Substance Abuse Services
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	
Room/ Floor	1st Floor
Street Address:	3019 County Complex Drive
City and Zip Code:	Canandaigua, 14424

Provider Number:	398	PRU Number	6078
Operating Certificate Number:	1105 0275	Capacity:	
Recertification Review Number:	AL07163		
Recertification Review Conducted:	5/14/2008 to: 5/15/2008		

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

<u>Standard Review Area</u>	<u>COMPLIANCE STATUS</u>		
	<u>Quality Indicator</u>	<u>Overall</u>	<u>Renewal Term</u>
▶ Case Records	_____	4.00	3 years
▶ Service Management	_____	4.00	3 years
▶ Facility Requirements and General Safety	_____	4.00	3 years
▶ Red Flag Deficiency(ies)			No N/A

(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|
| <input type="checkbox"/> | 1. Fiscal viability package not submitted. | 3 months |
| <input type="checkbox"/> | 2. Data received insufficient to determine fiscal viability. | 3 months |
| <input type="checkbox"/> | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| <input type="checkbox"/> | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| <input type="checkbox"/> | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| <input type="checkbox"/> | 6. Current financial position viable; however, overall financial position NOT viable. | 1 year |
| <input type="checkbox"/> | 7. Current and overall financial positions viable. | Per Recertification Review Results. |
| <input checked="" type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York
Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

Ontario County Substance Abuse Services

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

1st Floor
3019 County Complex Drive
Canandaigua, New York 14424

Program Name(s)

Service(s)

- Drug-Free Prevention Services (14 NYCRR 1030.3) Addendum Attached

Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

110500275

CERTIFICATE NUMBER

Renewed



EFFECTIVE DATE: June 01, 2008

EXPIRATION DATE: May 31, 2011

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 11050275

Ontario County Substance Abuse Services

CERTIFIED ADDITIONAL LOCATION(S)

As of June 01, 2008

Site

Service(s)

Site

Service(s)

Rooms 100, 101, 112 & 115
83 Seneca Street
Geneva, New York 14456

- Drug-Free Prevention Services (14 NYCRR 1030.3)