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NEW YORK STATE
OFFICE OF ALCOHOLISM
AND
SUBSTANCE ABUSE SERVICES
1450 Western Avenue
Albany, New York 12203-3526

Jean Somers Miller
Commissioner

Neil C. Grogin
Associate Commissioner

June 21, 2002

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Albert Turo
Executive Director
Catholic Charities of Montgomery County
1 Kimball Street
Amsterdam, New York 12010

Re: Certificate #05060329

Dear Mr. Turo:

Enclosed is the above-referenced Certificate, which renews the authorization of Catholic Charities of the Diocese of Albany to operate a substance abuse prevention program for three years.

This renewed Certificate is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The review process includes an on-site review of compliance with case records, program management, and facility requirements; as well as a provider fiscal viability determination, if applicable. Compliance ratings, which serve as the bases for the Certificate renewal term up to a maximum of three years, are indicated on the enclosed Compliance Summary Sheet.

Please note that the issuance of this Certificate does not authorize or affect funding commitments by OASAS. In addition, Catholic Charities of the Diocese of Albany is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified site must display a current Certificate in a location that is clearly visible to the public. If you have any questions about the issuance or terms of this Certificate, Certification Unit staff are available to assist you at (518) 485-2251.

Sincerely,

Elliott Lefkowitz
Certification Unit Manager

Enclosures

cc w/encs.: Neil C. Grogin
Rob Piculell
Karen Esposito
James Gumaer (Montgomery County)
John Battisti (Board President, Catholic Charities of Montgomery County)
Elizabeth Gaston (Clinical Coordinator, Catholic Charities of Montgomery County)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF STANDARDS AND QUALITY ASSURANCE -- CERTIFICATION UNIT**

**CERTIFICATE RENEWAL
COMPLIANCE SUMMARY SHEET**

Provider Name:	Catholic Charities of the Diocese of Albany		
Provider Number:	25430	PRU Number:	01864
Capacity:	100		
Recertification Review Number:	AL01065	Certificate Number:	05060329
Recertification Review Conducted:	3/20/02	to	03/21/02
Certified Program/Service Reviewed:	Substance Abuse Prevention Program		

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Certificate</u>
--	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

<u>Standard Review Area</u>	<u>COMPLIANCE STATUS</u>			<u>Renewal Term</u>
	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	
> Patient Case Records	N/A	3.45		3 years
> Program Management	N/A	4.00		3 years
> Facility Requirements, Appearance and General Safety	N/A	4.00		3 years
> Red Flag Deficiency(ies) <i>[For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review]</i>			No	N/A

FISCAL VIABILITY REVIEW RESULTS

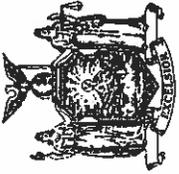
() 1.	Fiscal viability package not submitted.	3 months
() 2.	Data received insufficient to determine fiscal viability.	3 months
() 3.	Current financial position NOT viable, no acceptable financial recovery plan; and, OASAS not considering financial recovery funding.	3 months
() 4.	Current financial position NOT viable; however, an acceptable financial recovery plan submitted.	1 year
() 5.	Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding.	1 year
() 6.	Current financial position viable, however, overall financial position NOT viable.	1 year
(X) 7.	Current and overall financial positions viable.	Per Recertification Review Results.
() 8.	Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).	Per Recertification Review Results.

CERTIFICATE TERM

The term for the enclosed renewed Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term. If the lowest recertification review score achieved is 2.50 or less (Minimal or Noncompliance), the lower of the RECERTIFICATION REVIEW RESULTS or FISCAL VIABILITY DETERMINATION dictate the renewal term.

State of New York



Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

CATHOLIC CHARITIES OF THE DIOCESE OF ALBANY

pursuant to the provisions of the Mental Hygiene Law is hereby granted this Certificate of Approval to operate a substance abuse prevention program (14 NYCRR 1030.3) with a certified capacity of 100 clients at

Catholic Charities of Montgomery County
1 Kimball Street, Building #2
Amsterdam, New York 12010

in conformity with its application and the requirements of the laws and regulations of the State of New York.



Neil C. Grogin
NEIL C. GROGIN
ASSOCIATE COMMISSIONER

05060329

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: July 1, 2002
EXPIRATION DATE: June 30, 2005