

April 17, 2009

UPS GROUND

Mr. William Finkeldey  
Director  
Hastings Drug Guidance Council  
7 Maple Avenue  
Hastings-on-Hudson, New York 10706

Re: Certificate of Approval #120300350

Dear Mr. Finkeldey:

The enclosed Certificate of Approval renews the authorization of Hastings Drug Guidance Council to operate Section 1030.3 drug-free prevention services for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the basis for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Hastings Drug Guidance Council is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified service must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by May 1, 2009 if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,



Virginia Martin  
Director, Bureau of Certification

Enclosures

cc w/encs.: Charles W. Monson  
Kathy Murphy  
Scott Brady  
Lynn DeFruscio  
Grant E. Mitchell, M.D. (Westchester County)  
Donna Hart, Ph.D. (Board Chair, Hastings Drug Guidance Council)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Hastings Drug Guidance Council
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	James Harmon Community Center
Room/ Floor:	
Street Address:	44 Main Street
City and Zip Code:	Hastings-on-Hudson, 10706

Provider Number:	665	PRU Number	90002
Operating Certificate Number:	1203 0350	Capacity:	
Recertification Review Number:	NY08473		
Recertification Review Conducted:	3/17/2009 to: 3/20/2009		

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

<u>Standard Review Area</u>	<u>COMPLIANCE STATUS</u>			<u>Renewal Term</u>
	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	
▶ Case Records		4.00		3 years
▶ Service Management		4.00		3 years
▶ Facility Requirements and General Safety		3.76		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				N/A

**FISCAL VIABILITY REVIEWS**

- |                                     |   |                                     |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/>            | 1. Fiscal viability package not submitted.  | 3 months                            |
| <input type="checkbox"/>            | 2. Data received insufficient to determine fiscal viability.  | 3 months                            |
| <input type="checkbox"/>            | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding.  | 3 months                            |
| <input type="checkbox"/>            | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 1 year                              |
| <input type="checkbox"/>            | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding.                                       | 1 year                              |
| <input type="checkbox"/>            | 6. Current financial position viable; however, overall financial position NOT viable.   | 1 year                              |
| <input type="checkbox"/>            | 7. Current and overall financial positions viable.  | Per Recertification Review Results. |
| <input checked="" type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York

# Office of Alcoholism and Substance Abuse Services

Albany New York

## CERTIFICATE OF APPROVAL

### Hastings Drug Guidance Council

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

James Harmon Community Center  
44 Main Street  
Hastings-on-Hudson, New York  
10706

Program Name(s)

Hastings Youth Advocate Program

Service(s)

• Drug-Free Prevention Services (14 NYCRR 1030.3)



*Charles W. Monson*

CHARLES W. MONSON

ASSOCIATE COMMISSIONER

120300350

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: April 01, 2009

EXPIRATION DATE: March 31, 2012