Eliot Spitzer
Governor

NEW YORK STATE
OFFICE OF ALCOHOLISM
AND
SUBSTANCE ABUSE SERVICES
1450 Western Avenue
Albany, New York 12203-3526

Karen M. Carpenter-Palumbo
Commissioner

F

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

April 20, 2007

Mr. Hans E. Hageman
Executive Director
Boys and Girls Harbor, Inc.
1 East 104th Street
New York, New York 10029

Re: Certificate of Approval #100400412

Dear Mr. Hageman:

Enclosed is the above-referenced Certificate of Approval which renews the authorization of Boys and Girls Harbor, Inc. to operate Section 1030.3 drug-free prevention services for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Boys and Girls Harbor, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified site must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by May 4, 2007 if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin

Virginia Martin
Director, Bureau of Certification

Enclosures
cc w/encs.: Richard Hogle
Steven Rabinowitz
Richard Frakes
Lynn DeFruscio
Lloyd I. Sederer, M.D. (NYC Dept. of Health and Mental Hygiene)
Stephen J Dannhauser (Board Chair, Boys and Girls Harbor, Inc.)
Alfonze Hunter (Genesis Substance Abuse Prevention Program Director, Boys and Girls Harbor, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF LEGAL AFFAIRS -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: Boys and Girls Harbor, Inc.

Certified Program/Service Reviewed: Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:

Room/ Floor: Basement

Street Address: 1 East 104th Street

City and Zip Code: New York, 10029

Provider Number: 31050 PRU Number 6080

Operating Certificate Number: 1004 0412 Capacity:

Recertification Review Number: NY06243

Recertification Review Conducted: 4/2/2007 to: 4/3/2007

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

Compliance Scores	Compliance Ratings	Term of Renewed Operating Certificate
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

Standard Review Area	COMPLIANCE STATUS: Quality Indicator	Overall	Yes/No	Renewal Term
▶Case Records		4.00		3 years
▶Service Management		4.00		3 years
▶Facility Requirements and General Safety		3.71		3 years
▶Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)			No	N/A

FISCAL VIABILITY REVIEWS

☐	1.	Fiscal viability package not submitted.	3 months
☐	2.	Data received insufficient to determine fiscal viability.	3 months
☐	3.	Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding.	3 months
☐	4.	Current financial position NOT viable; however, an acceptable financial recovery plan submitted.	1 year
☐	5.	Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding.	1 year
☐	6.	Current financial position viable; however, overall financial position NOT viable.	1 year
☑	7.	Current and overall financial positions viable.	Per Recertification Review Results.
☐	8.	Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).	Per Recertification Review Results.

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York

Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

Boys and Girls Harbor, Inc.

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site	Program Name(s)	Service(s)
Basement 1 East 104th Street New York, New York 10029		• Drug-Free Prevention Services (14 NYCRR 1030.3)

Richard R. Hogle

RICHARD R. HOGLE
ACTING GENERAL COUNSEL

100400412

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: May 01, 2007
EXPIRATION DATE: April 30, 2010