



**OASAS**

Improving Lives.

NEW YORK STATE  
OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES  
*Addiction Services for Prevention, Treatment, Recovery*

GOVERNOR  
David A. Paterson

COMMISSIONER  
Karen M. Carpenter-Palumbo

August 8, 2008

UPS GROUND

Kenneth L. Davis, M.D.  
Chief Executive Officer/President  
The Mount Sinai Hospital  
Box 1220  
1425 Madison Avenue  
New York, New York 10029

Re: Certificate of Approval #100500532

Dear Dr. Davis:

The enclosed Certificate of Approval renews the authorization of The Mount Sinai Hospital to operate Section 1030.3 drug-free prevention services for two years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, The Mount Sinai Hospital is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified service must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by August 22, 2008 if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin  
Director, Bureau of Certification

Enclosures

cc w/encs.: Charles W. Monson  
Steven Rabinowitz  
Richard Frakes  
Lynn DeFruscio

David Rosin, M.D. (NYC Dept. of Health and Mental Hygiene)  
Peter W. May (Board Chair, The Mount Sinai Hospital)  
Anne Nucci-Sack, M.D. (Medical Director, The Mount Sinai Hospital)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF LEGAL AFFAIRS -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	The Mount Sinai Hospital
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	
Room/ Floor	1st & 2nd Floors
Street Address:	312-320 East 94th Street
City and Zip Code:	New York, 10128

Provider Number:	81510	PRU Number	90255
Operating Certificate Number:	1005 0532	Capacity:	
Recertification Review Number:	NY07272		
Recertification Review Conducted:	7/30/2008 to: 8/1/2008		

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

<u>Standard Review Area</u>	<u>COMPLIANCE STATUS</u>		
	<u>Quality Indicator</u>	<u>Overall</u>	<u>Renewal Term</u>
▶ Case Records		2.62	2 years
▶ Service Management		3.85	3 years
▶ Facility Requirements and General Safety		3.43	3 years
▶ Red Flag Deficiency(ies)		No	N/A

(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

**FISCAL VIABILITY REVIEWS**

- |                                     |   |                                     |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/>            | 1. Fiscal viability package not submitted.  | 3 months                            |
| <input type="checkbox"/>            | 2. Data received insufficient to determine fiscal viability.  | 3 months                            |
| <input type="checkbox"/>            | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding.  | 3 months                            |
| <input type="checkbox"/>            | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 1 year                              |
| <input type="checkbox"/>            | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding.                                       | 1 year                              |
| <input type="checkbox"/>            | 6. Current financial position viable; however, overall financial position NOT viable.   | 1 year                              |
| <input type="checkbox"/>            | 7. Current and overall financial positions viable.  | Per Recertification Review Results. |
| <input checked="" type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is two years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York

# Office of Alcoholism and Substance Abuse Services

Albany, New York

## CERTIFICATE OF APPROVAL

The Mount Sinai Hospital

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

1st & 2nd Floors  
312-320 East 94th Street  
New York, New York 10128

Program Name(s)

Adolescent Health Center, The  
Community Youth Program

Service(s)

- Drug-Free Prevention Services (14 NYCRR 1030.3)



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

100500532

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: June 01, 2008

EXPIRATION DATE: May 31, 2010