



OASAS

Improving Lives.

NEW YORK STATE

OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES

Addiction Services for Prevention, Treatment, Recovery

GOVERNOR
David A. Paterson

COMMISSIONER
Karen M. Carpenter-Palumbo

April 17, 2009

UPS GROUND

Mr. Scott LaVigne
Director of Community Services
Seneca County Community Services Board
31 Thurber Drive
Waterloo, NY 13165

Re: Certificate of Approval #120400622

Dear Mr. LaVigne:

The enclosed Certificate of Approval renews the authorization of Seneca County Community Services Board to operate Section 1030.3 drug-free prevention services for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the basis for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Seneca County Community Services Board is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified service must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by May 1, 2009, if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin

Director, Bureau of Certification

Enclosures

cc w/encs.: Charles W. Monson
Kathy Murphy
Thomas Haschmann
Janet Rucki
Howard Schler (Chair, Seneca County Community Services Board)
Mary Grace (Prevention and Treatment Program Director, Seneca County
Community Services Board)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Seneca County Community Services Board
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	Provider Number: 70330	PRU Number: 3910
Room/ Floor:	Operating Certificate Number: 1204 0622	Capacity:
Street Address: 31 Thurber Drive	Recertification Review Number: AL08091	
City and Zip Code: Waterloo, 13165	Recertification Review Conducted: 1/21/2009 to: 1/21/2009	

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

<u>Standard Review Area</u>	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records		4.00		3 years
▶ Service Management		4.00		3 years
▶ Facility Requirements and General Safety				
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				N/A

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/> | 1. Fiscal viability package not submitted. | 3 months |
| <input type="checkbox"/> | 2. Data received insufficient to determine fiscal viability. | 3 months |
| <input type="checkbox"/> | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| <input type="checkbox"/> | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| <input type="checkbox"/> | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| <input type="checkbox"/> | 6. Current financial position viable; however, overall financial position NOT viable. | 1 year |
| <input type="checkbox"/> | 7. Current and overall financial positions viable. | Per Recertification Review Results. |
| <input checked="" type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York
Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

Seneca County Community Services Board

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

31 Thurber Drive
Waterloo, New York 13165

Program Name(s)

Service(s)

- Drug-Free Prevention Services (14 NYCRR 1030.3)

Addendum Attached

Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

120400622

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: May 01, 2009

EXPIRATION DATE: April 30, 2012



State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 12040622

Seneca County Community Services Board

OTHER CERTIFIED SITES/SERVICE(S)

As of May 01, 2009

<u>Site</u>	<u>Service(s)</u>	<u>Site</u>	<u>Service(s)</u>
Cady Stanton Elementary School 1st Floor Room 13 38 Garden Street Seneca Falls, New York 13148	<ul style="list-style-type: none"> • Drug-Free Prevention Services (14 NYCRR 1030.3) 	Frank Knight Elementary School 1st Floor Room 42 98 Clinton Street Seneca Falls, New York 13148	<ul style="list-style-type: none"> • Drug-Free Prevention Services (14 NYCRR 1030.3)
La Fayette Elementary School 2nd Floor Room Adjacent to Room 211 71 Inslee Street Waterloo, New York 13165	<ul style="list-style-type: none"> • Drug-Free Prevention Services (14 NYCRR 1030.3) 	Mynderse Academy High School 1st Floor Room 150A 105 Troy Street Seneca Falls, New York 13148	<ul style="list-style-type: none"> • Drug-Free Prevention Services (14 NYCRR 1030.3)
Romulus Central School 1st Floor Room 40 5705 Main Street Romulus, New York 14541	<ul style="list-style-type: none"> • Drug-Free Prevention Services (14 NYCRR 1030.3) 	Seneca Falls Middle School 1st Floor Conference Room 95 Troy Street Seneca Falls, New York 13148	<ul style="list-style-type: none"> • Drug-Free Prevention Services (14 NYCRR 1030.3)
Skoi Yase Elementary School 1st Floor Room Across from Media Center 65 Fayette Street Waterloo, New York 13165	<ul style="list-style-type: none"> • Drug-Free Prevention Services (14 NYCRR 1030.3) 	South Seneca Elementary School 1st Floor, North Room 144 8326 Main Street Interlaken, New York 14847	<ul style="list-style-type: none"> • Drug-Free Prevention Services (14 NYCRR 1030.3)

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 12040622

Seneca County Community Services Board

OTHER CERTIFIED SITES/SERVICE(S)

As of May 01, 2009

South Seneca Middle/High School
2nd Floor, North
Rooms W & M
7263 Main Street
Ovid, New York 14521

• Drug-Free Prevention Services (14 NYCRR 1030.3)

Waterloo High School
96 Stark Street
Waterloo, New York 13165

• Drug-Free Prevention Services (14 NYCRR 1030.3)

Waterloo Middle School
65 Center Street
Waterloo, New York 13165

• Drug-Free Prevention Services (14 NYCRR 1030.3)