



May 15, 2009

UPS GROUND

Mr. Douglas Terbeek
Executive Director
Substance Abuse Prevention Team of Essex County, Inc.
173 Lord Howe Street
Ticonderoga, NY 12883

Re: Certificate of Approval #120500652

Dear Mr. Terbeek:

The enclosed Certificate of Approval renews the authorization of Substance Abuse Prevention Team of Essex County, Inc. to operate Section 1030.3 drug-free prevention services for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the basis for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Substance Abuse Prevention Team of Essex County, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified service must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by June 5, 2009, if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,


Virginia Martin
Director, Bureau of Certification

Enclosures

cc w/encs.: Charles W. Monson
Kathy Murphy
Tim Donovan
Janet Rucki
Nicole Bryant (Essex County)
Michael Diskin (President, Board of Directors, Substance Abuse Prevention Team
of Essex County, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Substance Abuse Prevention Team of Essex County, Inc.
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	_____
Room/ Floor	_____
Street Address:	173 Lord Howe Street
City and Zip Code:	Ticonderoga, 12883

Provider Number:	2185	PRU Number	90044
Operating Certificate Number:	1205 0652	Capacity:	_____
Recertification Review Number:	AL08094		
Recertification Review Conducted:	1/29/2009 to: 1/30/2009		

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

<u>Standard Review Area</u>	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	_____	3.80	_____	3 years
▶ Service Management	_____	4.00	_____	3 years
▶ Facility Requirements and General Safety	_____	_____	_____	_____
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)	_____	_____	_____	_____
▶ Quality Services Review	_____	_____	_____	N/A

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/> | 1. Fiscal viability package not submitted. | 3 months |
| <input type="checkbox"/> | 2. Data received insufficient to determine fiscal viability. | 3 months |
| <input type="checkbox"/> | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| <input type="checkbox"/> | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| <input type="checkbox"/> | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| <input type="checkbox"/> | 6. Current financial position viable; however, overall financial position NOT viable. | 1 year |
| <input checked="" type="checkbox"/> | 7. Current and overall financial positions viable. | Per Recertification Review Results. |
| <input type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York

Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

Substance Abuse Prevention Team of Essex County, Inc.

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

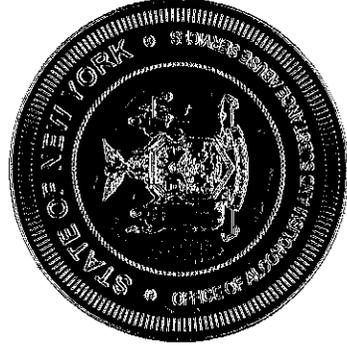
173 Lord Howe Street
Ticonderoga, New York 12883

Program Name(s)

Service(s)

- Drug-Free Prevention Services (14 NYCRR 1030.3)

Addendum Attached



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

120500652

CERTIFICATE NUMBER

EFFECTIVE DATE: June 01, 2009

Renewed

EXPIRATION DATE: May 31, 2012

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 12050652

Substance Abuse Prevention Team of Essex County, Inc.

OTHER CERTIFIED SITES/SERVICE(S)

As of June 01, 2009

<u>Site</u>	<u>Service(s)</u>	<u>Site</u>	<u>Service(s)</u>
Willsboro Central School 1st Floor Room A116 14-20 Farrell Road Willsboro, New York 12996	<ul style="list-style-type: none">• Drug-Free Prevention Services (14 NYCRR 1030.3)	Lake Placid Junior/Senior High School 2nd Floor Room 214 250 Main Street Lake Placid, New York 12946	<ul style="list-style-type: none">• Drug-Free Prevention Services (14 NYCRR 1030.3)
Schroon Lake Central School 2nd Floor Office Space Adj. to Room 221 Main Street Schroon Lake, New York 12870	<ul style="list-style-type: none">• Drug-Free Prevention Services (14 NYCRR 1030.3)	Moriah Central School 1st Floor Office Space Adj. to Room 166 Plank Road Port Henry, New York 12974	<ul style="list-style-type: none">• Drug-Free Prevention Services (14 NYCRR 1030.3)