OASAS
Improving Lives.
NEW YORK STATE
OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES
*Addiction Services for Prevention, Treatment, Recovery*

GOVERNOR
David A. Paterson
COMMISSIONER
Karen M. Carpenter-Palumbo

April 10, 2009

UPS GROUND

Mr. Vaughn Thomas
Executive Director/Chief Executive Officer
Sports Foundation, Inc.
871 Westchester Avenue
Bronx, New York 10459

Re: Certificate of Approval #120300694

Dear Mr. Thomas:

The enclosed Certificate of Approval renews the authorization of Sports Foundation, Inc. to operate Section 1030.3 drug-free prevention services for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the basis for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Sports Foundation, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified service must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by April 24, 2009 if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin

Virginia Martin
Director, Bureau of Certification

Enclosures
cc w/encs.: Charles W. Monson
Steven Rabinowitz
Gideon Rabino
Lynn DeFruscio
Daliah Heller (NYC Dept. of Health and Mental Hygiene)
Kathleen Kennedy (NYC Dept. of Health and Mental Hygiene)
Roscoe C. Brown, Jr. (Board Chair, Sports Foundation, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

## OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: Sports Foundation, Inc.

Certified Program/Service Reviewed: Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:

Room/ Floor: Basement, 1st Floor Lobby

Street Address: 871 Westchester Avenue

City and Zip Code: Bronx, 10459

Provider Number: 1613 PRU Number 90258

Operating Certificate Number: 1203 0694 Capacity:

Recertification Review Number: NY08475

Recertification Review Conducted: 3/24/2009 to: 3/27/2009

### RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

| Compliance Scores | Compliance Ratings | Term of Renewed Operating Certificate |
|---|---|---|
| --- | Quality Services Review | 9 months |
| --- | Red Flag Deficiency(ies) | 6 months |
| 0 - 1.75 | Noncompliance | 6 months |
| 1.76 - 2.50 | Minimal Compliance | 1 year |
| 2.51 - 3.25 | Partial Compliance | 2 years |
| 3.26 - 4.00 | Substantial Compliance | 3 years |

### RECERTIFICATION REVIEW RESULTS

| Standard Review Area | COMPLIANCE STATUS: Quality Indicator | Overall | Yes/No | Renewal Term |
|---|---|---|---|---|
| ▶Case Records | | 3.88 | | 3 years |
| ▶Service Management | | 4.00 | | 3 years |
| ▶Facility Requirements and General Safety | | 4.00 | | 3 years |
| ▶Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review) | | | | |
| ▶Quality Services Review | | | | N/A |

### FISCAL VIABILITY REVIEWS

| | | | |
|---|---|---|---|
| ☐ | 1. | Fiscal viability package not submitted. | 3 months |
| ☐ | 2. | Data received insufficient to determine fiscal viability. | 3 months |
| ☐ | 3. | Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| ☐ | 4. | Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| ☐ | 5. | Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| ☑ | 6. | Current financial position viable; however, overall financial position NOT viable. | 1 year |
| ☐ | 7. | Current and overall financial positions viable. | Per Recertification Review Results. |
| ☐ | 8. | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

### CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York

# Office of Alcoholism and Substance Abuse Services

Albany, New York

# CERTIFICATE OF APPROVAL

## Sports Foundation, Inc.

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

| Site | Program Name(s) | Service(s) |
|---|---|---|
| Basement, 1st Floor Lobby<br>871 Westchester Avenue<br>Bronx, New York 10459 | | • Drug-Free Prevention Services (14 NYCRR 1030.3) |

Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

120300694

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: April 01, 2009
EXPIRATION DATE: March 31, 2012