



NEW YORK STATE  
OFFICE OF ALCOHOLISM  
AND  
SUBSTANCE ABUSE SERVICES  
1450 Western Avenue  
Albany, New York 12203-3526

Eliot Spitzer  
Governor

Karen M. Carpenter-Palumbo  
Commissioner

CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

November 9, 2007

Mr. William W. Bowman  
Executive Director  
Alcohol and Substance Abuse Council  
of Jefferson County, Inc.  
167 Polk Street, Suite 320  
Watertown, NY 13601

Re: Certificate of Approval #100900736

Dear Mr. Bowman:

The enclosed Certificate of Approval renews the authorization of Alcohol and Substance Abuse Council of Jefferson County, Inc. to operate Section 1030.3 drug-free prevention services for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Alcohol and Substance Abuse Council of Jefferson County, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified service must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by December 1, 2007 if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

  
Virginia Martin  
Director, Bureau of Certification

Enclosures



Mr. William W. Bowman  
November 9, 2007  
Page 2 of 2

cc w/encs.: Charles W. Monson  
Kathy Murphy  
Pam Nash  
Janet Rucki  
Larry Tingley (Jefferson County)  
Timothy Bobo (Central New York HSA)  
Jane Gendron (President, Board of Director, Alcohol and Substance Abuse Council  
of Jefferson County, Inc.)  
Kristen Conners (Student Assistance Program Director, Alcohol and Substance  
Abuse Council of Jefferson County, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF LEGAL AFFAIRS -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name: Alcohol and Substance Abuse Council of Jefferson County, Inc.  
 Certified Program/Service Reviewed: Drug-Free Prevention Services (14 NYCRR 1030.3)

Building: \_\_\_\_\_  
 Room/ Floor: Suite 320  
 Street Address: 167 Polk Street  
 City and Zip Code: Watertown, 13601

Provider Number: 36130 PRU Number: 6526  
 Operating Certificate Number: 1009 0736 Capacity: \_\_\_\_\_  
 Recertification Review Number: AL07032  
 Recertification Review Conducted: 10/24/2007 to: 10/25/2007

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

<u>Standard Review Area</u>	<u>COMPLIANCE STATUS</u>		
	<u>Quality Indicator</u>	<u>Overall</u>	<u>Renewal Term</u>
▶ Case Records	_____	<u>3.73</u>	<u>3 years</u>
▶ Service Management	_____	<u>4.00</u>	<u>3 years</u>
▶ Facility Requirements and General Safety	_____	<u>4.00</u>	<u>3 years</u>
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)		No	N/A

**ISCAL VIABILITY REVIEWS**

- |                                     |   |                                     |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/>            | 1. Fiscal viability package not submitted.  | 3 months                            |
| <input type="checkbox"/>            | 2. Data received insufficient to determine fiscal viability.  | 3 months                            |
| <input type="checkbox"/>            | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding.  | 3 months                            |
| <input type="checkbox"/>            | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 1 year                              |
| <input type="checkbox"/>            | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding.                                       | 1 year                              |
| <input type="checkbox"/>            | 6. Current financial position viable; however, overall financial position NOT viable.   | 1 year                              |
| <input checked="" type="checkbox"/> | 7. Current and overall financial positions viable.  | Per Recertification Review Results. |
| <input type="checkbox"/>            | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years.  
 The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York

# Office of Alcoholism and Substance Abuse Services

Albany, New York

## CERTIFICATE OF APPROVAL

Alcohol and Substance Abuse Council of Jefferson County, Inc.

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

Suite 320  
167 Polk Street  
Watertown, New York 13601

Program Name(s)

- Drug-Free Prevention Services (14 NYCRR 1030.3)

Service(s)

Addendum Attached

*Charles W. Monson*

CHARLES W. MONSON

ASSOCIATE COMMISSIONER

CERTIFICATE NUMBER

100900736

Renewed

EFFECTIVE DATE: October 01, 2007

EXPIRATION DATE: September 30, 2010



Alcohol and Substance Abuse Council of Jefferson County, Inc.

OTHER CERTIFIED SITES/SERVICE(S)

As of October 01, 2007

<u>Site</u>	<u>Service(s)</u>	<u>Site</u>	<u>Service(s)</u>
Alexandria Bay Central School District 2nd Floor Room 307 34 Bolton Avenue Alexandria Bay, New York 13607	<ul style="list-style-type: none"> <li>• Drug-Free Prevention Services (14 NYCRR 1030.3)</li> </ul>	General Brown Junior-High School Room 117 17643 Cemetery Road Dexter, New York 13634	<ul style="list-style-type: none"> <li>• Drug-Free Prevention Services (14 NYCRR 1030.3)</li> </ul>
Carthage High School Guidance Office 36500 Route 26 Carthage, New York 13619	<ul style="list-style-type: none"> <li>• Drug-Free Prevention Services (14 NYCRR 1030.3)</li> </ul>	Case Middle School 1st Floor Guidance Office 1237 Washington Street Watertown, New York 13601	<ul style="list-style-type: none"> <li>• Drug-Free Prevention Services (14 NYCRR 1030.3)</li> </ul>
Watertown High School Level 3 Balcony Office 1335 Washington Street Watertown, New York 13601	<ul style="list-style-type: none"> <li>• Drug-Free Prevention Services (14 NYCRR 1030.3)</li> </ul>		