



NEW YORK STATE
OFFICE OF ALCOHOLISM
AND
SUBSTANCE ABUSE SERVICES
1450 Western Avenue
Albany, New York 12203-3526
December 20, 2006

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Ms. Agnes Donlon
Executive Director
Catholic Charities of the Diocese of Albany
431 East Allen Street
Hudson, New York 12534

Re: Certificate of Approval #09110782

Dear Ms. Donlon:

Enclosed is the above-referenced Certificate of Approval which renews the authorization of Catholic Charities of the Diocese of Albany [Catholic Charities of Herkimer County] to operate Section 1030.3 drug-free prevention services for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Catholic Charities of the Diocese of Albany is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified site must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by January 3, 2007 if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin
Director, Bureau of Certification

Enclosures
cc w/encs.:

Richard Hogle
Kathy Murphy
Fred Meservey
Arlene Davison
Edgar Scudder (Herkimer County)

Robert Brenchley (Board President, Catholic Charities)
Terry Leonard (Executive Director, Catholic Charities)
JoAnn Salamone (Director, Prevention Education, Herkimer
County Prevention Council, Catholic Charities)

The OASAS mission is to improve the lives of New Yorkers by ensuring quality prevention and treatment services for chemical dependence and compulsive gambling, and promoting recovery from addiction.

<http://www.oasas.state.ny.us>
An Equal Opportunity/Affirmative Action Employer



**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF LEGAL AFFAIRS – BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: Catholic Charities of the Diocese of Albany
 Certified Program/Service Reviewed: Drug-Free Prevention Services (14 NYCRR 1030.3)

Building: _____
 Room/ Floor: Basement Level - Room 7
 Street Address: 61 West Street
 City and Zip Code: Ilion, 13357

Provider Number: 10240 PRU Number: 5855
 Operating Certificate Number: 0911 0782 Capacity: _____
 Recertification Review Number: AL06022
 Recertification Review Conducted: 5/23/2006 to: 5/24/2006

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

<u>Standard Review Area</u>	<u>COMPLIANCE STATUS</u>		
	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>
▶ Case Records	_____	3.64	_____
▶ Service Management	_____	3.89	_____
▶ Facility Requirements and General Safety	_____	3.43	_____
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)			

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/> | 1. Fiscal viability package not submitted. | 3 months |
| <input type="checkbox"/> | 2. Data received insufficient to determine fiscal viability. | 3 months |
| <input type="checkbox"/> | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| <input type="checkbox"/> | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| <input type="checkbox"/> | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| <input type="checkbox"/> | 6. Current financial position viable; however, overall financial position NOT viable. | 1 year |
| <input checked="" type="checkbox"/> | 7. Current and overall financial positions viable. | Per Recertification Review Results. |
| <input type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term. If the lowest recertification review score achieved is 2.50 or less (Minimal, Noncompliance or Red Flag Deficiency(ies) is Noted), the lower of the RECERTIFICATION REVIEW RESULTS or FISCAL VIABILITY REVIEW dictate the renewal term.

State of New York

Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

Catholic Charities of the Diocese of Albany

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

Basement Level
Room 7
61 West Street
Albion, New York 13357

Program Name(s)

Catholic Charities of Herkimer County

Service(s)

- Drug-Free Prevention Services (14 NYCRR 1030.3)

Addendum Attached



Richard R. Hogle
 RICHARD R. HOGLE
 ACTING GENERAL COUNSEL

09110782

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: December 01, 2006

EXPIRATION DATE: November 30, 2009

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 09110782

Catholic Charities of the Diocese of Albany

OTHER CERTIFIED SITES/SERVICE(S)

As of December 01, 2006

<u>Site</u>	<u>Service(s)</u>	<u>Site</u>	<u>Service(s)</u>
Town of Webb School 2nd Floor Office 3002 Main Street Old Forge, New York 13420	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Dolgeville Central School Office Adj. to Room 12 38 Slawson Street Dolgeville, New York 13329	• Drug-Free Prevention Services (14 NYCRR 1030.3)
Frankfort/Schuyler Central School Rooms 143B, 143D, 145 and 146 605 Palmer Street Frankfort, New York 13340	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Little Falls Central School Rooms 3020, 3144 and 3150 High School Road Little Falls, New York 13365	• Drug-Free Prevention Services (14 NYCRR 1030.3)
Poland Central School 1st Floor Guidance Office 74 Cold Brook Street Poland, New York 13431	• Drug-Free Prevention Services (14 NYCRR 1030.3)		