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**Eliot Spitzer**  
*Governor*

**NEW YORK STATE  
OFFICE OF ALCOHOLISM  
AND  
SUBSTANCE ABUSE SERVICES**  
1450 Western Avenue  
Albany, New York 12203-3526

**Karen M. Carpenter-Palumbo**  
*Commissioner*

June 15, 2007

**CERTIFIED MAIL  
RETURN RECEIPT REQUESTED**

Mr. Thomas E. Jaconski  
Executive Director  
Mountain View Prevention Services, Inc.  
5584 Shady Avenue  
Lowville, New York 13367

Re: Certificate of Approval #100500834

Dear Mr. Jaconski:

Enclosed is the above-referenced Operating Certificate which amends and renews the authorization of Mountain View Prevention Services, Inc. to operate a Section 1030.3 drug-free prevention for three years.

The amendment is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on your May 1, 2007 correspondence to OASAS stating that the additional location site at Howard G. Sackett Technical Center, 1<sup>st</sup> Floor, Room 11035, 5836 Route 12, Glenfield is no longer operational. The Central Field Office has approved termination of services at this site, effective May 31, 2007.

Minimum requirements for the voluntary termination of certified services are established in 14 NYCRR §810.16. These include submission of the proposed termination plan directly to your agency's OASAS Program Manager and to your Local Governmental Unit (LGU) at least 60 days prior to the scheduled close.

This renewed Operating Certificate is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Operating Certificate renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Mountain View Prevention Services, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.



Mr. Thomas E. Jaconski  
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Each provider operating an OASAS-certified site must display a current Operating Certificate on-site in a location visible to the public. *Please examine the Operating Certificate carefully and contact the Bureau of Certification by June 29, 2007 if the Operating Certificate does not accurately reflect your services and/or locations.*

Sincerely,



Virginia Martin  
Director, Bureau of Certification

Enclosures

cc w/encs: Charles W. Monson

Kathy Murphy

Steve Mantor

Pamela Nash

Marie Spada

Lynn DeFruscio

Toby Davis, Ph.D. (Lewis County)

Mary B. Wormuth (Board Chair, Mountain View Prevention Services, Inc.)

Alisa M. Andre (Student Assistance Coordinator, Mountain View Prevention Services, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF LEGAL AFFAIRS – BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Mountain View Prevention Services, Inc.
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	
Room/ Floor	First Floor East
Street Address:	7714 Number Three Road
City and Zip Code:	Lowville, 13367

Provider Number:	36320	PRU Number	7017
Operating Certificate Number:	1005 0834	Capacity:	
Recertification Review Number:	AL06141		
Recertification Review Conducted:	2/20/2007 to: 2/21/2007		

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

<u>Standard Review Area</u>	<u>COMPLIANCE STATUS</u>		
	<u>Quality Indicator</u>	<u>Overall</u>	<u>Renewal Term</u>
▶ Case Records	_____	4.00	3 years
▶ Service Management	_____	4.00	3 years
▶ Facility Requirements and General Safety	_____	3.36	3 years
▶ Red Flag Deficiency(ies)			No / N/A

(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

**FISCAL VIABILITY REVIEWS**

- |                                     |   |                                     |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/>            | 1. Fiscal viability package not submitted.  | 3 months                            |
| <input type="checkbox"/>            | 2. Data received insufficient to determine fiscal viability.  | 3 months                            |
| <input type="checkbox"/>            | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding.  | 3 months                            |
| <input type="checkbox"/>            | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 1 year                              |
| <input type="checkbox"/>            | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding.                                       | 1 year                              |
| <input type="checkbox"/>            | 6. Current financial position viable; however, overall financial position NOT viable.   | 1 year                              |
| <input checked="" type="checkbox"/> | 7. Current and overall financial positions viable.  | Per Recertification Review Results. |
| <input type="checkbox"/>            | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York  
Office of Alcoholism and Substance Abuse Services  
Albany, New York

# CERTIFICATE OF APPROVAL

Mountain View Prevention Services, Inc.

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

First Floor East  
7714 Number Three Road  
Lowville, New York 13367

Program Name(s)

Service(s)

● Drug-Free Prevention Services (14 NYCRR 1030.3)

Addendum Attached

*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

100500834

CERTIFICATE NUMBER  
Amended/Renewed



EFFECTIVE DATE: May 31, 2007  
EXPIRATION DATE: May 31, 2010

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 10050834

Mountain View Prevention Services, Inc.

OTHER CERTIFIED SITES/SERVICE(S)

As of May 31, 2007

<u>Site</u>	<u>Service(s)</u>	<u>Site</u>	<u>Service(s)</u>
Beaver River Central School Office, 1st Floor Adjacent to Room 152 9508 Artz Road Beaver Falls, New York 13305	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Copenhagen Central School 1st Floor Room 73A 3020 Mechanic Street Copenhagen, New York 13620	• Drug-Free Prevention Services (14 NYCRR 1030.3)
Harrisville Central School Room A115 14357 Pirate Lane Harrisville, New York 13648	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Lowville Academy & Central School 3rd Floor Room Adjacent to Science Lab 7668 North State Street Lowville, New York 13367	• Drug-Free Prevention Services (14 NYCRR 1030.3)
South Lewis Central School 2nd Floor Room 209 4264 East Road Turin, New York 13473	• Drug-Free Prevention Services (14 NYCRR 1030.3)		