



August 11, 2008

UPS GROUND

Ms. Jeanne M. Unger
Executive Director
Farnham, Inc. d/b/a Farnham Family Services
283 West 2nd Street, Suite 200
Oswego, NY 13126

Re: *Conditional* Certificate of Approval #C090300855

Dear Ms. Unger:

Enclosed is *Conditional* Certificate of Approval which is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Certificate of Approval provides temporary authorization for Farnham, Inc. d/b/a Farnham Family Services to operate Section 1030.3 drug-free prevention services for one year, effective April 1, 2008 through March 31, 2009, at 283 West 2nd Street, 1st Floor, Oswego, New York, 13126. The *Conditional* Certificate of Approval is issued to allow time for review and final decision on the Certification Application to additional sites at John C. Birdlebough High School and Charles E. Riley Elementary School. A standard Certificate of Approval may be issued upon approval of the Certification Application #2008-126.

Standard Certificate of Approval #110300855 will expire March 31, 2011. Given the results of OASAS' March 19-20, 2008 recertification (regulatory compliance) review, Farnham, Inc. d/b/a Farnham Family Services was found to be in substantial compliance. Enclosed is the Certificate of Approval Renewal Compliance Summary Sheet which reflects the new Certificate of Approval number, ratings and resultant three year Certificate of Approval term. This standard Certificate of Approval is suspended.

Please note that this *Conditional* Certificate of Approval provides temporary authorization only and confers no right to a standard Certificate of Approval. In addition, Farnham, Inc. d/b/a Farnham Family Services is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities. A copy of this *Conditional* Certificate of Approval must be displayed on-site in a location visible to the public. Pursuant to 14 NYCRR Section 810.17, the Certificate of Approval (original document) must be returned to OASAS immediately.

Ms. Jeanne M. Unger
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Please examine the Conditional Certificate of Approval carefully and contact the Bureau of Certification by August 28, 2008 if the Certificate of Approval does not accurately reflect your services and/or locations.

Sincerely,



Virginia Martin
Director, Bureau of Certification

Enclosures

cc w/encs: Charles W. Monson
Kathy Murphy
Elliott Lefkowitz
Pam Nash
David Herbert
Janet Rucki
Timothy J. Bobo (Central New York HSA)
Nicole Kolmsee (Oswego County)
Fred Maxon (Board President, Farnham, Inc. d/b/a Farnham Family Services)
Cindy Albro (Prevention Director, Farnham, Inc. d/b/a Farnham Family Services)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF LEGAL AFFAIRS -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Farnham, Inc. d/b/a Farnham Family Services
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	Administrative Site:
Room/ Floor	1st Floor
Street Address:	283 West 2nd Street
City and Zip Code:	Oswego, 13126

Provider Number:	15660	PRU Number	5680
Operating Certificate Number:	1103 0855	Capacity:	
Recertification Review Number:	AL07140		
Recertification Review Conducted:	3/19/2008 to: 3/20/2008		

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

Standard Review Area

COMPLIANCE STATUS

<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.88		3 years
▶ Service Management	3.88		3 years
▶ Facility Requirements and General Safety			
▶ Red Flag Deficiency(ies)		No	N/A

(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/> | 1. Fiscal viability package not submitted. | 3 months |
| <input type="checkbox"/> | 2. Data received insufficient to determine fiscal viability. | 3 months |
| <input type="checkbox"/> | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| <input type="checkbox"/> | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| <input type="checkbox"/> | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| <input type="checkbox"/> | 6. Current financial position viable; however, overall financial position NOT viable. | 1 year |
| <input checked="" type="checkbox"/> | 7. Current and overall financial positions viable. | Per Recertification Review Results. |
| <input type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York
Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

Farnham, Inc. d/b/a Farnham Family Services

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

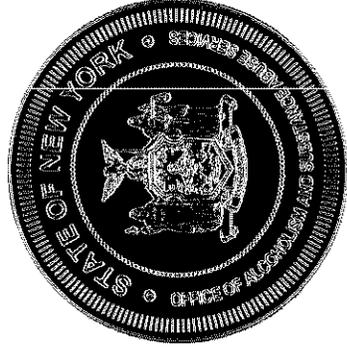
Administrative Site:
1st Floor
283 West 2nd Street
Oswego, New York 13126

Program Name(s)

- Drug-Free Prevention Services (14 NYCRR 1030.3)

Addendum Attached

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

C110300855

CERTIFICATE NUMBER

CONDITIONAL

EFFECTIVE DATE: April 01, 2008

EXPIRATION DATE: March 31, 2011

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER C09030855

Farnham, Inc. d/b/a Farnham Family Services

OTHER CERTIFIED SITES/SERVICE(S)

As of April 01, 2008

Site

Emerson J. Dillon Middle School
(Phoenix)
116 Volney Street
Phoenix, New York 13135

Oswego High School
2 Buccaneer Boulevard
Oswego, New York 13126

James A. Fairgrieve Elementary School
716 Academy Street
Fulton, New York 13069

Fulton Junior High School
129 Curtis Street
Fulton, New York 13069

Service(s)

• Drug-Free Prevention Services (14 NYCRR 1030.3)

Site

Mexico Middle School
16 Fravor Road
Mexico, New York 13114

Mexico High School
3338 Main Street
Mexico, New York 13114

James E. Lanigan Elementary School
59 Bakeman Street
Fulton, New York 13069

Oswego Middle School
10 Mark Fitzgibbons Drive
Oswego, New York 13126

Service(s)

• Drug-Free Prevention Services (14 NYCRR 1030.3)

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER C09030855

Farnham, Inc. d/b/a Farnham Family Services

OTHER CERTIFIED SITES/SERVICE(S)

As of April 01, 2008

Pennellville School (Phoenix)
384 County Route 54
Pennellville, New York 13132

• Drug-Free Prevention Services (14 NYCRR 1030.3)

Granby Elementary School
400 West 7th Street North
Fulton, New York 13069

• Drug-Free Prevention Services (14 NYCRR 1030.3)

Volney Elementary School
2592 State Route 3
Fulton, New York 13069

• Drug-Free Prevention Services (14 NYCRR 1030.3)

Sandy Creek CSD
124 Salisbury Street
Sandy Creek, New York 13145

• Drug-Free Prevention Services (14 NYCRR 1030.3)

G. Ray Bodley High School (Fulton)
6 William Gillard Drive
Fulton, New York 13069

• Drug-Free Prevention Services (14 NYCRR 1030.3)

Frederick Leighton Elementary School
1 Buccaneer Boulevard
Oswego, New York 13126

• Drug-Free Prevention Services (14 NYCRR 1030.3)

Fitzhugh Park Elementary School
195 Bridge Street
Oswego, New York 13126

• Drug-Free Prevention Services (14 NYCRR 1030.3)

Almar-Parish-Williamstown High
School
639 County Route 22
Parish, New York 13131

• Drug-Free Prevention Services (14 NYCRR 1030.3)