



NEW YORK STATE  
OFFICE OF ALCOHOLISM  
AND  
SUBSTANCE ABUSE SERVICES  
1450 Western Avenue  
Albany, New York 12203-3526

William A. Gorman, Ph.D.  
Commissioner

Neil C. Grogin  
Associate Commissioner

May 28, 2004

CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

Mr. Nelson C. Acquilano  
Executive Director  
Council on Alcoholism and Other Chemical  
Dependencies of the Finger Lakes, Inc.  
620 West Washington Street  
Geneva, New York 14456

Re: Certificate #06120860

Dear Mr. Acquilano:

Enclosed is the above-referenced standard Certificate, which reinstates, amends and renews the authorization of Council on Alcoholism and Other Chemical Dependencies of the Finger Lakes, Inc. to operate a Section 1030.3 substance abuse prevention program for three years. The amendment is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) to reflect an address change at Penn Yan Elementary School from 305 Court Street to 3 School Street, Penn Yan as a result of relocating the main entrance during renovation. If Council on Alcoholism and Other Chemical Dependencies of the Finger Lakes, Inc. wishes to expand services beyond three authorized sites, and/or increase the certified capacity above 200, a prior application submission to OASAS is required.

The standard Certificate is reinstated from *Conditional* Certificate #C04060860 by OASAS based on the submission of physical plant-related documentation previously requested.

This renewed Certificate is issued by OASAS based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Council on Alcoholism and Other Chemical Dependencies of the Finger Lakes, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.



Mr. Nelson Acquilano  
Page 2 of 2  
May 28, 2004

Each provider operating an OASAS-certified site must display a current Certificate in a location that is clearly visible to the public. Please contact the Certification Bureau at (518) 485-2251 if you have any questions about the issuance or terms of this Certificate.

Sincerely,



Elliott Lefkowitz  
Certification Bureau Director

Enclosures  
cc w/encs.:

Neil C. Grogin  
Vince Fenlon  
Stephen Mantor  
Kathleen Bergeron  
Thomas Haschmann  
Holly Bauer-Mergen  
Arlene Davison  
Bonnie C. DeVinney (Finger Lakes HSA)  
Pamela Larnard (Yates County)  
Richard Marthaller (Board Chair, Council on Alcoholism and Other Chemical Dependencies of the Finger Lakes, Inc.)  
Martha McConnell (Director of Programming, Council on Alcoholism and Other Chemical Dependencies of the Finger Lakes, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF STANDARDS AND QUALITY ASSURANCE -- CERTIFICATION BUREAU

CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: Council on Alcoholism and Other Chemical Dependencies of the Finger Lakes, Inc.  
 Certified Program/Service Reviewed: Substance Abuse Prevention Program (14NYCRR)

Building: \_\_\_\_\_  
 Room/ Floor \_\_\_\_\_  
 Street Address: 218 Lake Street Plaza  
 City and Zip Code: Penn Yan, 14527

Provider Number: 37250 PRU Number 6340  
 Certificate Number: 0612 0860 Capacity: 200  
 Recertification Review Number: AL03086  
 Recertification Review Conducted: 11/12/2003 to: 11/14/2003

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

<u>Standard Review Area</u>	<u>COMPLIANCE STATUS</u>			<u>Renewal Term</u>
	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	
▶ Case Records	_____	4.00		3 years
▶ Service Management	_____	4.00		3 years
▶ Facility Requirements and General Safety	_____	_____		3 years
▶ Red Flag Deficiency(ies)			No	N/A

(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

FISCAL VIABILITY REVIEWS

- |                                     |   |                                     |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/>            | 1. Fiscal viability package not submitted.  | 3 months                            |
| <input type="checkbox"/>            | 2. Data received insufficient to determine fiscal viability.  | 3 months                            |
| <input type="checkbox"/>            | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding.  | 3 months                            |
| <input checked="" type="checkbox"/> | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 1 year                              |
| <input type="checkbox"/>            | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding.                                       | 1 year                              |
| <input type="checkbox"/>            | 6. Current financial position viable; however, overall financial position NOT viable.   | 1 year                              |
| <input type="checkbox"/>            | 7. Current and overall financial positions viable.  | Per Recertification Review Results. |
| <input type="checkbox"/>            | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

CERTIFICATE TERM

The term for the enclosed Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term. If the lowest recertification review score achieved is 2.50 or less (Minimal, Noncompliance or Red Flag Deficiency(ies) is Noted), the lower of the RECERTIFICATION REVIEW RESULTS or FISCAL VIABILITY REVIEW dictate the renewal term.

State of New York

# Office of Alcoholism and Substance Abuse Services



## CERTIFICATE OF APPROVAL

Council on Alcoholism and Other Chemical  
Dependencies of the Finger Lakes, Inc.

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

218 Lake Street Plaza  
Penn Yan, New York 14527

Program Name(s)

- Substance Abuse Prevention Program (14 NYCRR 1030.3) - 200 Clients

Service(s)

Addendum Attached



*Neil C. Grogin*

NEIL C. GROGIN  
ASSOCIATE COMMISSIONER

06120860

CERTIFICATE NUMBER  
Amended/Renewed

EFFECTIVE DATE: May 19, 2004  
EXPIRATION DATE: December 31, 2006

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 0612860

# Council on Alcoholism and Other Chemical Dependencies of the Finger Lakes, Inc.

OTHER CERTIFIED SITES/SERVICE(S)

As of May 19, 2004

<u>Site</u>	<u>Service(s)</u>	<u>Site</u>	<u>Service(s)</u>
Dundee Central School 2nd Floor Room 224 & 225 55 Water Street Dundee Central School Dundee, New York 14837	• Substance Abuse Prevention Program (14 NYCRR 1030.3)	Penn Yan Elementary School Room 180 3 School Drive Penn Yan, New York 14527	• Substance Abuse Prevention Program (14 NYCRR 1030.3)
Penn Yan Middle School Room 231 Liberty Street Penn Yan, New York 14527	• Substance Abuse Prevention Program (14 NYCRR 1030.3)		