



Eliot Spitzer
Governor

NEW YORK STATE
OFFICE OF ALCOHOLISM
AND
SUBSTANCE ABUSE SERVICES
1450 Western Avenue
Albany, New York 12203-3526

Karen M. Carpenter-Palumbo
Commissioner

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UPS GROUND

February 29, 2008

Ms. Dianne Morales
Executive Director
The Door – A Center of Alternatives, Inc.
121 Avenue of the Americas
New York, New York 10013

Re: Certificate of Approval #101200982

Dear Ms. Morales:

The enclosed Certificate of Approval renews the authorization of The Door – A Center of Alternatives, Inc. to operate Section 1030.3 drug-free prevention services for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, The Door – A Center of Alternatives, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified service must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by March 14, 2008 if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin
Director, Bureau of Certification

Enclosures

cc w/encs.: Charles W. Monson
Steven Rabinowitz
Richard Frakes
Lynn DeFruscio

Thomas R. Frieden, M.D. (NYC Dept. of Health and
Mental Hygiene)
LeRoy Kim (Board President, The Door – A Center of
Alternatives, Inc.)
Rhonda Braxton (Director, Adolescent Health Center &
Counseling Programs, The Door – A Center of
Alternatives, Inc.)
<http://www.oasas.state.ny.us>

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**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF LEGAL AFFAIRS – BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: The Door - A Center of Alternatives, Inc.
 Certified Program/Service Reviewed: Drug-Free Prevention Services (14 NYCRR 1030.3)

Building: _____
 Room/ Floor: 2nd Floor
 Street Address: 121-3 Avenue of the Americas
 City and Zip Code: New York, 10013

Provider Number: 31190 PRU Number: 2970
 Operating Certificate Number: 1012 0982 Capacity: _____
 Recertification Review Number: NY07123
 Recertification Review Conducted: 2/7/2008 to: 2/8/2008

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
—	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

Standard Review Area

COMPLIANCE STATUS

<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	<u>3.26</u>		<u>3 years</u>
▶ Service Management	<u>3.79</u>		<u>3 years</u>
▶ Facility Requirements and General Safety	<u>4.00</u>		<u>3 years</u>
▶ Red Flag Deficiency(ies)		<u>No</u>	<u>N/A</u>

(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/> | 1. Fiscal viability package not submitted. | 3 months |
| <input type="checkbox"/> | 2. Data received insufficient to determine fiscal viability. | 3 months |
| <input type="checkbox"/> | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| <input type="checkbox"/> | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| <input type="checkbox"/> | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| <input type="checkbox"/> | 6. Current financial position viable; however, overall financial position NOT viable. | 1 year |
| <input checked="" type="checkbox"/> | 7. Current and overall financial positions viable. | Per Recertification Review Results. |
| <input type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York

Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

The Door - A Center of Alternatives, Inc.

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

2nd Floor
121-3 Avenue of the Americas
New York, New York 10013

Program Name(s)

Service(s)

- Drug-Free Prevention Services (14 NYCRR 1030.3)

Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

101200982

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: January 01, 2008

EXPIRATION DATE: December 31, 2010

