



September 12, 2008

UPS GROUND

Mr. William K. Fulton, III
Executive Director
Delphi Drug and Alcohol Council, Inc.
Lower Level
1732 East Ridge Road
Rochester, NY 14622

Re: Certificate of Approval #110601008

Dear Mr. Fulton:

The enclosed Certificate of Approval renews the authorization of Delphi Drug and Alcohol Council, Inc. to operate Section 1030.3 drug-free prevention services for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Delphi Drug and Alcohol Council, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified service must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by September 26, 2008, if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin
Director, Bureau of Certification

Enclosures

cc w/encs.: Charles W. Monson
Kathy Murphy
Thomas Haschmann
Janet Rucki
Kathleen Coyne Plum
(Monroe County)

Fran Weisberg (Finger Lakes HSA)
David Khalil (President, Delphi Drug
and Alcohol Council, Inc.)
Ronni Boyars (Program Director-
Prevention, Delphi Drug and Alcohol
Council, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF LEGAL AFFAIRS -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Delphi Drug and Alcohol Council, Inc.
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	
Room/ Floor	Ground Floor
Street Address:	1732 East Ridge Road
City and Zip Code:	Rochester, 14622

Provider Number:	37290	PRU Number	5151
Operating Certificate Number:	1106 1008	Capacity:	
Recertification Review Number:	AL07183		
Recertification Review Conducted:	6/16/2008 to: 6/18/2008		

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

<u>Standard Review Area</u>	<u>COMPLIANCE STATUS</u>			
	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records		3.97		3 years
▶ Service Management		3.88		3 years
▶ Facility Requirements and General Safety				
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)			No	N/A

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/> | 1. Fiscal viability package not submitted. | 3 months |
| <input type="checkbox"/> | 2. Data received insufficient to determine fiscal viability. | 3 months |
| <input type="checkbox"/> | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| <input type="checkbox"/> | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| <input type="checkbox"/> | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| <input type="checkbox"/> | 6. Current financial position viable; however, overall financial position NOT viable. | 1 year |
| <input checked="" type="checkbox"/> | 7. Current and overall financial positions viable. | Per Recertification Review Results. |
| <input type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York
Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

Delphi Drug and Alcohol Council, Inc.

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

Ground Floor
1732 East Ridge Road
Rochester, New York 14622

Program Name(s)

Service(s)

- Drug-Free Prevention Services (14 NYCRR 1030.3)

Addendum Attached

Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

110601008

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: July 01, 2008

EXPIRATION DATE: June 30, 2011



State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 11061008

Delphi Drug and Alcohol Council, Inc.

OTHER CERTIFIED SITES/SERVICE(S)

As of July 01, 2008

<u>Site</u>	<u>Service(s)</u>	<u>Site</u>	<u>Service(s)</u>
Scribner Road Elementary School 1st Floor Conference Room 1BC 1760 Scribner Road Penfield, New York 14526	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Harris Hill Elementary School 1st Floor OT/PT Room 5 2126 Penfield Road Penfield, New York 14526	• Drug-Free Prevention Services (14 NYCRR 1030.3)
Indian Landing Elementary School 2nd Floor Administration Office 702 Landing Road North Rochester, New York 14625	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Cobbles Elementary School 1st Floor Conference Room 14J 140 Gebhardt Road Penfield, New York 14526	• Drug-Free Prevention Services (14 NYCRR 1030.3)
Penfield High School 1st Floor 25 High School Drive Penfield, New York 14526	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Twelve Corners Middle School 2643 Elmwood Avenue Rochester, New York 14618	• Drug-Free Prevention Services (14 NYCRR 1030.3)