



OASAS

Improving Lives.

NEW YORK STATE

OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES

Addiction Services for Prevention, Treatment, Recovery

GOVERNOR
David A. Paterson

COMMISSIONER
Karen M. Carpenter-Palumbo

August 1, 2008

UPS GROUND

Mr. William K. Fulton, III
Executive Director
Delphi Drug and Alcohol Council, Inc.
1732 East Ridge Road, Lower Level
Rochester, NY 14622

Re: Certificate of Approval #110601009

Dear Mr. Fulton:

The enclosed Certificate of Approval renews the authorization of Delphi Drug and Alcohol Council, Inc. to operate Section 1030.3 drug-free prevention services for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Delphi Drug and Alcohol Council, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified service must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by August 15, 2008 if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin

Director, Bureau of Certification

Enclosures

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF LEGAL AFFAIRS -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Delphi Drug and Alcohol Council, Inc.
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	Administrative Site:
Room/ Floor	3rd Floor Conference Room
Street Address:	165 East Union Street
City and Zip Code:	Newark, 14513

Provider Number:	37290	PRU Number	5209
Operating Certificate Number:	1106 1009	Capacity:	
Recertification Review Number:	AL07184		
Recertification Review Conducted:	6/16/2008 to: 6/18/2008		

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

Standard Review Area

COMPLIANCE STATUS

<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.33		3 years
▶ Service Management	4.00		3 years
▶ Facility Requirements and General Safety			
▶ Red Flag Deficiency(ies)		No	N/A

(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/> | 1. Fiscal viability package not submitted. | 3 months |
| <input type="checkbox"/> | 2. Data received insufficient to determine fiscal viability. | 3 months |
| <input type="checkbox"/> | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| <input type="checkbox"/> | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| <input type="checkbox"/> | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| <input type="checkbox"/> | 6. Current financial position viable; however, overall financial position NOT viable. | 1 year |
| <input checked="" type="checkbox"/> | 7. Current and overall financial positions viable. | Per Recertification Review Results. |
| <input type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York

Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

Delphi Drug and Alcohol Council, Inc.

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

Administrative Site:
3rd Floor Conference Room
165 East Union Street
Newark, New York 14513

Program Name(s) Service(s)

- Drug-Free Prevention Services (14 NYCRR 1030.3) Addendum Attached



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

110601009

CERTIFICATE NUMBER

Renewal

EFFECTIVE DATE: July 01, 2008

EXPIRATION DATE: June 30, 2011

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 11061009

Delphi Drug and Alcohol Council, Inc.

OTHER CERTIFIED SITES/SERVICE(S)

As of July 01, 2008

<u>Site</u>	<u>Service(s)</u>	<u>Site</u>	<u>Service(s)</u>
Palmyra-Macedon High School Room 402 151 Hyde Parkway Palmyra, New York 14522	<ul style="list-style-type: none">• Drug-Free Prevention Services (14 NYCRR 1030.3)	Palmyra-Macedon Middle School Counselor's Office 151 Hyde Parkway Palmyra, New York 14522	<ul style="list-style-type: none">• Drug-Free Prevention Services (14 NYCRR 1030.3)
North Rose-Wolcott Leavenworth Middle School 3rd Floor Counselor's Office 5957 Hartford Street Wolcott, New York 14590	<ul style="list-style-type: none">• Drug-Free Prevention Services (14 NYCRR 1030.3)	North Rose-Wolcott High School Counselor's Office 11631 Salter Colvin Road Wolcott, New York 14590	<ul style="list-style-type: none">• Drug-Free Prevention Services (14 NYCRR 1030.3)
Lyons Middle/High School 10 Clyde Road Lyons, New York 14489	<ul style="list-style-type: none">• Drug-Free Prevention Services (14 NYCRR 1030.3)	Marion Junior/Senior High School 4043 Warner Road Marion, New York 14505	<ul style="list-style-type: none">• Drug-Free Prevention Services (14 NYCRR 1030.3)
Macedon Elementary School 4 West Street Macedon, New York 14502	<ul style="list-style-type: none">• Drug-Free Prevention Services (14 NYCRR 1030.3)	Palmyra Elementary School 120 Canandaigua Street Palmyra, New York 14522	<ul style="list-style-type: none">• Drug-Free Prevention Services (14 NYCRR 1030.3)

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 11061009

Delphi Drug and Alcohol Council, Inc.

OTHER CERTIFIED SITES/SERVICE(S)

As of July 01, 2008

- Drug-Free Prevention Services (14 NYCRR 1030.3)

Clyde Savannah Junior/Senior High
School
215 Glasgow Street
Clyde, New York 14433