



NEW YORK STATE
OFFICE OF ALCOHOLISM
AND
SUBSTANCE ABUSE SERVICES

1450 Western Avenue
Albany, New York 12203-3526

June 27, 2007

Eliot Spitzer
Governor

Karen M. Carpenter-Palumbo
Commissioner

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Edythe S. Schwartz, DSW
Executive Director
Putnam Family and Community Services, Inc.
1808 Route Six
Carmel, New York 10512

Re: Certificate of Approval #10061100

Dear Dr. Schwartz:

Enclosed is the above-referenced Certificate of Approval which amends and renews the authorization of Putnam Family and Community Services, Inc. to operate Section 1030.3 drug-free prevention services for three years. This amendment is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on Mid-Hudson Field Office's review and approval of the **Termination Plan** submitted by Putnam Family and Community Services, Inc. for the additional location site located at Mahopac High School, Baldwin Place Road, Mahopac, effective June 29, 2007.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Putnam Family and Community Services, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified site must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by July 13, 2007, if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin
Director, Bureau of Certification

Enclosures

cc w/encs.: Charles W. Monson Deborah A. Czubak Cynthia Kosacz, V.M.D. (Board
Kathy Murphy Arlene Davison Chair, Provider
Scott Brady Michael J. Piazza (Putnam Doreen Lockwood (Program Director,
County) Provider)

<http://www.oasas.state.ny.us>

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**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF LEGAL AFFAIRS -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Putnam Family and Community Services, Inc.
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	_____
Room/ Floor	_____
Street Address:	1808 Route Six
City and Zip Code:	Carmel, 10512

Provider Number:	27700	PRU Number	7131
Operating Certificate Number:	1006 1100	Capacity:	_____
Recertification Review Number:	NY06292		
Recertification Review Conducted:	6/5/2007 to: 6/6/2007		

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

<u>Standard Review Area</u>	<u>COMPLIANCE STATUS</u>		
	<u>Quality Indicator</u>	<u>Overall</u>	<u>Renewal Term</u>
▶ Case Records	_____	3.76	3 years
▶ Service Management	_____	4.00	3 years
▶ Facility Requirements and General Safety	_____	4.00	3 years
▶ Red Flag Deficiency(ies)			No / N/A

(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|
| <input type="checkbox"/> | 1. Fiscal viability package not submitted. | 3 months |
| <input type="checkbox"/> | 2. Data received insufficient to determine fiscal viability. | 3 months |
| <input type="checkbox"/> | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| <input type="checkbox"/> | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| <input type="checkbox"/> | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| <input type="checkbox"/> | 6. Current financial position viable; however, overall financial position NOT viable. | 1 year |
| <input checked="" type="checkbox"/> | 7. Current and overall financial positions viable. | Per Recertification Review Results. |
| <input type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York
Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

Putnam Family and Community Services, Inc.

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

1808 Route Six
Carmel, New York 10512

Program Name(s)

Common Sense

Service(s)

● Drug-Free Prevention Services (14 NYCRR 1030.3)

Addendum Attached



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

100601100

CERTIFICATE NUMBER
Amended/Renewed

EFFECTIVE DATE: July 01, 2007
EXPIRATION DATE: June 30, 2010

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 10061100

Putnam Family and Community Services, Inc.

OTHER CERTIFIED SITES/SERVICE(S)

As of July 01, 2007

Site

Service(s)

Site

Service(s)

Haldane High School
Student Assistance Office
15 Craigside Drive
Cold Spring, New York 10516

- Drug-Free Prevention Services (14 NYCRR 1030.3)

Brewster High School
Student Assistance Office
50 Foggintown Road
Brewster, New York 10509

- Drug-Free Prevention Services (14 NYCRR 1030.3)