OASAS
Improving Lives.
NEW YORK STATE
OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES
Addiction Services for Prevention, Treatment, Recovery

GOVERNOR
David A. Paterson
COMMISSIONER
Karen M. Carpenter-Palumbo

UPS GROUND

May 15, 2009

Ms. Andrea J. Wanat
Executive Director
Erie County Council for the Prevention of
Alcohol and Substance Abuse, Inc.
1625 Hertel Avenue
Buffalo, New York 14206

Re: Operating Certificate #120401145

Dear Ms. Wanat:

The enclosed Operating Certificate amends and renews the authorization of Erie County Council for the Prevention of Alcohol and Substance Abuse, Inc. to operate a Section 1030.3 drug-free prevention for three years.

This amendment is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on the review and approval of Certification Application #2009-028, to add an additional location site at Lake Shore Behavioral Health, 463 William Street, Buffalo.

This renewed Operating Certificate is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Operating Certificate renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Erie County Council for the Prevention of Alcohol and Substance Abuse, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Pursuant to 14 NYCRR Section 810.17, the previously issued Operating Certificate (original document) must be returned to OASAS' Bureau of Certification immediately.

Each provider operating an OASAS-certified service must display a current Operating Certificate on-site in a location visible to the public. *Please examine the Operating Certificate carefully and contact the Bureau of Certification by May 29, 2009 if the Operating Certificate does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin

Virginia Martin
Director, Bureau of Certification

Enclosures

cc w/encs: Charles W. Monson
Kathy Murphy
Patrick Morrison
Steve Mantor
Ilyana Meltzer
Scott Berman
Lynn DeFruscio
Rate Based Provider Unit (DOH)
Philip Endress (Erie County)
Gerald Erion (President, Board of Directors, Erie County Council for the Prevention of Alcohol and Substance Abuse, Inc.)
Robin C. Mann (Director of Programs, Erie County Council for the Prevention of Alcohol and Substance Abuse, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

## OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: Erie County Council for the Prevention of Alcohol and Substance Abuse, Inc.

Certified Program/Service Reviewed: Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:

Room/ Floor: 1st Floor

Street Address: 1625 Hertel Avenue

City and Zip Code: Buffalo, 14216

Provider Number: 38040 PRU Number 7123

Operating Certificate Number: 1204 1145 Capacity:

Recertification Review Number: AL08085

Recertification Review Conducted: 1/14/2009 to: 1/14/2009

### RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

| Compliance Scores | Compliance Ratings | Term of Renewed Operating Certificate |
|---|---|---|
| --- | Quality Services Review | 9 months |
| --- | Red Flag Deficiency(ies) | 6 months |
| 0 - 1.75 | Noncompliance | 6 months |
| 1.76 - 2.50 | Minimal Compliance | 1 year |
| 2.51 - 3.25 | Partial Compliance | 2 years |
| 3.26 - 4.00 | Substantial Compliance | 3 years |

### RECERTIFICATION REVIEW RESULTS

| Standard Review Area | COMPLIANCE STATUS: Quality Indicator | Overall | Yes/No | Renewal Term |
|---|---|---|---|---|
| ▶Case Records | | 4.00 | | 3 years |
| ▶Service Management | | 4.00 | | 3 years |
| ▶Facility Requirements and General Safety | | | | |
| ▶Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review) | | | | |
| ▶Quality Services Review | | | | N/A |

### FISCAL VIABILITY REVIEWS

| | | | |
|---|---|---|---|
| ☐ | 1. | Fiscal viability package not submitted. | 3 months |
| ☐ | 2. | Data received insufficient to determine fiscal viability. | 3 months |
| ☐ | 3. | Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| ☐ | 4. | Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| ☐ | 5. | Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| ☐ | 6. | Current financial position viable; however, overall financial position NOT viable. | 1 year |
| ☑ | 7. | Current and overall financial positions viable. | Per Recertification Review Results. |
| ☐ | 8. | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

### CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York

# Office of Alcoholism and Substance Abuse Services

Albany, New York

# CERTIFICATE OF APPROVAL

## Erie County Council for the Prevention of Alcohol and Substance Abuse, Inc.

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

| Site | Program Name(s) | Service(s) | |
|---|---|---|---|
| 1st Floor<br>1625 Hertel Avenue<br>Buffalo, New York 14216 | Student Assistance Prevention Counseling Program | • Drug-Free Prevention Services (14 NYCRR 1030.3) | Addendum Attached |

Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

120401145

CERTIFICATE NUMBER
Amended/Renewed

EFFECTIVE DATE: May 01, 2009
EXPIRATION DATE: April 30, 2012

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 12041145

# Erie County Council for the Prevention of Alcohol and Substance Abuse, Inc.

OTHER CERTIFIED SITES/SERVICE(S)

As of May 01, 2009

| Site | Service(s) |
|---|---|
| School #18<br>Room 316<br>118 Hampshire Street<br>Buffalo, New York 14213 | • Drug-Free Prevention Services (14 NYCRR 1030.3) |
| Riverside Institute of Technology<br>Room 131<br>51 Ontario Street<br>Buffalo, New York 14215 | • Drug-Free Prevention Services (14 NYCRR 1030.3) |
| Enterprise Charter School<br>1st Floor<br>Social Worker's Office<br>275 Oak Street<br>Buffalo, New York 14203 | • Drug-Free Prevention Services (14 NYCRR 1030.3) |
| School # 19 - Native American Magnet<br>2nd Floor<br>Room 210<br>238 Ontario Street<br>Buffalo, New York 14207 | • Drug-Free Prevention Services (14 NYCRR 1030.3) |
| McKinley High School<br>Room 210<br>1500 Elmwood Avenue<br>Buffalo, New York 14207 | • Drug-Free Prevention Services (14 NYCRR 1030.3) |
| Lackawanna High/Middle School<br>Guidance Office<br>550 Martin Road<br>Lackawanna, New York 14218 | • Drug-Free Prevention Services (14 NYCRR 1030.3) |
| School #66 - North Park Middle Academy<br>Guidance Office<br>780 Parkside Avenue<br>Buffalo, New York 14216 | • Drug-Free Prevention Services (14 NYCRR 1030.3) |
| Lake Shore Behavioral Health<br>463 William Street<br>Buffalo, New York 14204 | • Drug-Free Prevention Services (14 NYCRR 1030.3) |