



UPS GROUND

December 4, 2008

Mr. Larry Calkins
Executive Director
Seaway Valley Council for Alcohol/Substance
Abuse Prevention, Inc.
2 Riverside Drive
Canton, NY 13617

Re: Certificate of Approval #110801147

Dear Mr. Calkins:

The enclosed Certificate of Approval amends and renews the authorization of Seaway Valley Council for Alcohol/Substance Abuse Prevention, Inc. to operate a Section 1030.3 drug-free prevention service for three years.

This amendment is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on Central Field Office's review and approval of the provider's request to remove the additional location site located at Madrid-Waddington Middle/High School, effective December 4, 2008.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Seaway Valley Council for Alcohol/Substance Abuse Prevention, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Pursuant to 14 NYCRR Section 810.17, the previously issued Certificate of Approval (original document) must be returned to OASAS' Bureau of Certification immediately.

Each provider operating an OASAS-certified service must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by December 22, 2008, if the Operating Certificate does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin
Director, Bureau of Certification

Enclosures

Mr. Larry Calkins
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cc w/encs: Charles W. Monson
Kathy Murphy
Pam Nash
Janet Rucki
Timothy Bobo (Central New York HSA)
Ruth Ayen (St. Lawrence County)
Jim Arvidson (President, Seaway Valley Council for Alcohol/Substance Abuse
Prevention, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Seaway Valley Council for Alcohol/ Substance Abuse Prevention, Inc.
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	Administrative Site:
Room/ Floor	
Street Address:	2 Riverside Drive
City and Zip Code:	Canton, 13617

Provider Number:	36310	PRU Number	7184
Operating Certificate Number:	1108 1147	Capacity:	
Recertification Review Number:	AL08002		
Recertification Review Conducted:	10/8/2008 to: 10/9/2008		

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

<u>Standard Review Area</u>	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records		3.84		3 years
▶ Service Management		4.00		3 years
▶ Facility Requirements and General Safety				
▶ Red Flag Deficiency(ies)			No	N/A

(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/> | 1. Fiscal viability package not submitted. | 3 months |
| <input type="checkbox"/> | 2. Data received insufficient to determine fiscal viability. | 3 months |
| <input type="checkbox"/> | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| <input checked="" type="checkbox"/> | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| <input type="checkbox"/> | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| <input type="checkbox"/> | 6. Current financial position viable; however, overall financial position NOT viable. | 1 year |
| <input type="checkbox"/> | 7. Current and overall financial positions viable. | Per Recertification Review Results. |
| <input type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York
Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

**Seaway Valley Council for Alcohol/
Substance Abuse Prevention, Inc.**

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

Administrative Site:
2 Riverside Drive
Canton, New York 13617

Program Name(s)

Service(s)

● Drug-Free Prevention Services (14 NYCRR 1030.3)

Addendum Attached



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

110801147

CERTIFICATE NUMBER
Amended/Renewed

EFFECTIVE DATE: September 01, 2008
EXPIRATION DATE: August 31, 2011

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 11081147

**Seaway Valley Council for Alcohol/
Substance Abuse Prevention, Inc.**

OTHER CERTIFIED SITES/SERVICE(S)

As of September 01, 2008

<u>Site</u>	<u>Service(s)</u>	<u>Site</u>	<u>Service(s)</u>
Colton- Pierreponte Central School Elementary, Middle and High Schools Guidance Office Maple Street Colton, New York 13625	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Gouverneur Central School Elementary, Middle and High Schools Linkages Office 133 East Barney Street Gouverneur, New York 13642	• Drug-Free Prevention Services (14 NYCRR 1030.3)
Hermon-DeKalb Central School SAP Office (Room 107) 709 East DeKalb Road DeKalb, New York 13630	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Heuvelton Central School Elementary, Middle and High Schools Room 31 87 Washington Street Heuvelton, New York 13654	• Drug-Free Prevention Services (14 NYCRR 1030.3)
Lisbon Central School Middle and High Schools Guidance Office 6866 County Route 10 Lisbon, New York 13658	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Ogdensburg Free Academy Middle and High Schools Room 320 1100 State Street Ogdensburg, New York 13669	• Drug-Free Prevention Services (14 NYCRR 1030.3)