



February 13, 2009

UPS GROUND

Ms. Robin A. Bernstein
Executive Director
The Educational Alliance, Inc.
197 East Broadway
New York, NY 10002

Re: Certificate of Approval #111201156

Dear Ms. Bernstein:

The enclosed Certificate of Approval renews the authorization of The Educational Alliance, Inc. to operate Section 1030.3 drug-free prevention services for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the basis for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, The Educational Alliance, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified service must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by February 27, 2009 if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,


Virginia Martin
Director, Bureau of Certification

Enclosures

cc w/encs.: Charles W. Monson
Steven Rabinowitz
Richard Frakes
Janet Rucki
Daliah Heller (NYC Dept. of Health and Mental Hygiene)
Kathleen Kennedy (NYC Dept. of Health and Mental Hygiene)
Alan S. Jaffe (Board Chair, The Educational Alliance, Inc.)
Natalia Zayachkivska (Program Director, The Educational Alliance, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	The Educational Alliance, Inc.
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)

Building:	_____	Provider Number:	70590	PRU Number:	7129
Room/ Floor:	_____	Operating Certificate Number:	1112 1156	Capacity:	_____
Street Address:	371 East 10th Street	Recertification Review Number:	NY08428		
City and Zip Code:	New York, 10009	Recertification Review Conducted:	1/20/2009 to: 1/21/2009		

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

<u>Standard Review Area</u>	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	_____	3.89		3 years
▶ Service Management	_____	4.00		3 years
▶ Facility Requirements and General Safety	_____	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				N/A

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/> | 1. Fiscal viability package not submitted. | 3 months |
| <input type="checkbox"/> | 2. Data received insufficient to determine fiscal viability. | 3 months |
| <input type="checkbox"/> | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| <input type="checkbox"/> | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| <input type="checkbox"/> | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| <input type="checkbox"/> | 6. Current financial position viable; however, overall financial position NOT viable. | 1 year |
| <input checked="" type="checkbox"/> | 7. Current and overall financial positions viable. | Per Recertification Review Results. |
| <input type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

The Educational Alliance, Inc.

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

371 East 10th Street
New York, New York 10009

Program Name(s)

Service(s)

- Drug-Free Prevention Services (14 NYCRR 1030.3) -



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

111201156

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: January 01, 2009
EXPIRATION DATE: December 31, 2011