



NEW YORK STATE
OFFICE OF ALCOHOLISM
AND
SUBSTANCE ABUSE SERVICES
1450 Western Avenue
Albany, New York 12203-3526
January 12, 2007

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Ms. Laura Opelt
Executive Director
Catholic Charities of the Diocese of Rochester d/b/a
Catholic Charities of Steuben
23 Liberty Street
Bath, New York 14810

Re: Certificate of Approval #08121190

Dear Ms. Opelt:

Enclosed is the above-referenced Certificate of Approval which renews the authorization of Catholic Charities of the Diocese of Rochester d/b/a Catholic Charities of Steuben to operate Section 1030.3 drug-free prevention services for two years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Catholic Charities of the Diocese of Rochester d/b/a Catholic Charities of Steuben is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified site must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by January 30, 2007 if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin
Director, Bureau of Certification

Enclosures

cc w/encs.: Richard Hogle
Kathy Murphy
Thomas Haschmann
Pat Loughlin
Arlene Davison

Bonnie C. DeVinney (Finger Lakes HSA)
Robert Anderson, Ph.D. (Steuben County)
Lloyd I. Sederer, M.D. (NYC Dept. of Health and Mental Hygiene)
Robert Turissini (Board Chair, Provider)
James M. Bassage (Prevention Director, Provider)

The OASAS mission is to improve the lives of New Yorkers by ensuring quality prevention and treatment services for chemical dependence and compulsive gambling, and promoting recovery from addiction.

<http://www.oasas.state.ny.us>
An Equal Opportunity/Affirmative Action Employer



**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF LEGAL AFFAIRS – BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Catholic Charities of the Diocese of Rochester d/b/a Catholic Charities of Steuben		
Certified Program/Service Reviewed:	Drug-Free Prevention Services (14 NYCRR 1030.3)		
Building:			
Room/ Floor:	1st and 2nd Floors		
Street Address:	8 East Morris Street		
City and Zip Code:	Bath, 14810		
Provider Number:	1055	PRU Number:	7261
Operating Certificate Number:	0812 1190	Capacity:	
Recertification Review Number:	AL06063		
Recertification Review Conducted:	9/27/2006 to: 9/28/2006		

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

<u>Standard Review Area</u>	<u>COMPLIANCE STATUS</u>		
	<u>Quality Indicator</u>	<u>Overall</u>	<u>Renewal Term</u>
▶ Case Records	_____	3.85	3 years
▶ Service Management	_____	3.16	2 years
▶ Facility Requirements and General Safety	_____	_____	_____
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)			

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/> | 1. Fiscal viability package not submitted. | 3 months |
| <input type="checkbox"/> | 2. Data received insufficient to determine fiscal viability. | 3 months |
| <input type="checkbox"/> | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding. | 3 months |
| <input type="checkbox"/> | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 1 year |
| <input type="checkbox"/> | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding. | 1 year |
| <input type="checkbox"/> | 6. Current financial position viable; however, overall financial position NOT viable. | 1 year |
| <input checked="" type="checkbox"/> | 7. Current and overall financial positions viable. | Per Recertification Review Results. |
| <input type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is two years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term. If the lowest recertification review score achieved is 2.50 or less (Minimal, Noncompliance or Red Flag Deficiency(ies) is Noted), the lower of the RECERTIFICATION REVIEW RESULTS or FISCAL VIABILITY REVIEW dictate the renewal term.

State of New York

Office of Alcoholism and Substance Abuse Services

Albany, New York

CERTIFICATE OF APPROVAL

Catholic Charities of the Diocese of Rochester

d/b/a Catholic Charities of Steuben

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

Site

1st and 2nd Floors
8 East Morris Street
Bath, New York 14810

Program Name(s)

Service(s)

- Drug-Free Prevention Services (14 NYCRR 1030.3) Addendum Attached



Richard R. Hogle
 RICHARD R. HOGLE
 ACTING GENERAL COUNSEL

08121190

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: January 01, 2007

EXPIRATION DATE: December 31, 2008

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 08121190

**Catholic Charities of the Diocese of Rochester
d/b/a Catholic Charities of Steuben**

OTHER CERTIFIED SITES/SERVICE(S)

As of January 01, 2007

<u>Site</u>	<u>Service(s)</u>	<u>Site</u>	<u>Service(s)</u>
Bradford Central School D Wing Room 14A 2820 Route 226 Bradford, New York 14815	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Campbell-Savona Elementary School Room Adj. to Room 113 64 East Lamoka Avenue Savona, New York 14879	• Drug-Free Prevention Services (14 NYCRR 1030.3)
Hammondsport High School Room 128A Main Street Hammondsport, New York 14840	• Drug-Free Prevention Services (14 NYCRR 1030.3)	Haivering Junior Senior High School Room 170 25 Ellas Avenue Bath, New York 14810	• Drug-Free Prevention Services (14 NYCRR 1030.3)