



April 17, 2009

UPS GROUND

Mr. Michael O'Leary  
Director of Community Services  
Columbia County Department of Human Services  
325 Columbia Street  
Hudson, NY 12534

Re: Certificate of Approval #120401290

Dear Mr. O'Leary:

The enclosed Certificate of Approval renews the authorization of Columbia County Department of Human Services to operate Section 1030.3 drug-free prevention services for three years.

This renewed Certificate of Approval is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the basis for the Certificate of Approval renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Columbia County Department of Human Services is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified service must display a current Certificate of Approval on-site in a location visible to the public. *Please examine the Certificate of Approval carefully and contact the Bureau of Certification by May 1, 2009, if the Certificate of Approval does not accurately reflect your services and/or locations.*

Sincerely,

Virginia Martin  
Director, Bureau of Certification

Enclosures

cc w/encs.: Charles W. Monson  
Kathy Murphy  
Tim Donovan  
Janet Rucki  
John Lyons (Coordinator, Integrated School & Community Services Project,  
Columbia County Department of Human Services)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

|                                     |   |
|-------------------------------------|---|
| Provider Legal Name:                | Columbia County Department of Human Services    |
| Certified Program/Service Reviewed: | Drug-Free Prevention Services (14 NYCRR 1030.3) |

|                    |                     |
|--------------------|---------------------|
| Building:          | Administrative Site |
| Room/ Floor        | 3rd Floor           |
| Street Address:    | 325 Columbia Street |
| City and Zip Code: | Hudson, 12534       |

|                                   |                       |            |      |
|-----------------------------------|-----------------------|------------|------|
| Provider Number:                  | 70140                 | PRU Number | 6650 |
| Operating Certificate Number:     | 1204 1290             | Capacity:  |      |
| Recertification Review Number:    | AL08090               |            |      |
| Recertification Review Conducted: | 1/5/2009 to: 1/8/2009 |            |      |

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

| <u>Compliance Scores</u> | <u>Compliance Ratings</u> | <u>Term of Renewed Operating Certificate</u> |
|--------------------------|---------------------------|--|
| ---                      | Quality Services Review   | 9 months                                     |
| ---                      | Red Flag Deficiency(ies)  | 6 months                                     |
| 0 - 1.75                 | Noncompliance             | 6 months                                     |
| 1.76 - 2.50              | Minimal Compliance        | 1 year                                       |
| 2.51 - 3.25              | Partial Compliance        | 2 years                                      |
| 3.26 - 4.00              | Substantial Compliance    | 3 years                                      |

**RECERTIFICATION REVIEW RESULTS**

| <u>Standard Review Area</u>   | <u>COMPLIANCE STATUS</u> |                |                     |
|---|--------------------------|----------------|---------------------|
|   | <u>Quality Indicator</u> | <u>Overall</u> | <u>Renewal Term</u> |
| ▶ Case Records  |                          | 3.63           | 3 years             |
| ▶ Service Management  |                          | 3.85           | 3 years             |
| ▶ Facility Requirements and General Safety  |                          |                |                     |
| ▶ Red Flag Deficiency(ies)<br>(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review) |                          |                |                     |
| ▶ Quality Services Review   |                          |                | N/A                 |

**FISCAL VIABILITY REVIEWS**

- |                                     |   |                                     |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/>            | 1. Fiscal viability package not submitted.  | 3 months                            |
| <input type="checkbox"/>            | 2. Data received insufficient to determine fiscal viability.  | 3 months                            |
| <input type="checkbox"/>            | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding.  | 3 months                            |
| <input type="checkbox"/>            | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 1 year                              |
| <input type="checkbox"/>            | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding.                                       | 1 year                              |
| <input type="checkbox"/>            | 6. Current financial position viable; however, overall financial position NOT viable.   | 1 year                              |
| <input type="checkbox"/>            | 7. Current and overall financial positions viable.  | Per Recertification Review Results. |
| <input checked="" type="checkbox"/> | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York

# Office of Alcoholism and Substance Abuse Services

Albany, New York

## CERTIFICATE OF APPROVAL

Columbia County Department of Human Services

pursuant to the provision of the Mental Hygiene Law is hereby granted this Certificate of Approval in conformity with its application and the requirements of the laws and regulations of the State of New York

**Site**

Administrative Site  
3rd Floor  
325 Columbia Street  
Hudson, New York 12534

**Program Name(s)**

Integrated School and Community  
Services Project

**Service(s)**

- Drug-Free Prevention Services (14 NYCRR 1030.3)

Addendum Attached



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

120401290

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: May 01, 2009

EXPIRATION DATE: April 30, 2012

State of New York

Office of Alcoholism and Substance Abuse Services

ADDENDUM TO CERTIFICATE OF APPROVAL NUMBER 12041290

Columbia County Department of Human Services

OTHER CERTIFIED SITES/SERVICE(S)

As of May 01, 2009

| <u>Site</u>   | <u>Service(s)</u>                                 | <u>Site</u>   | <u>Service(s)</u>                                 |
|---|---|---|---|
| Hudson City School District<br>1st Floor<br>Room 22-B<br>621 State Road<br>Hudson, New York 12534           | • Drug-Free Prevention Services (14 NYCRR 1030.3) | BOCES -- Questar III<br>1st Floor<br>Room 27-B<br>131 Union Turnpike<br>Hudson, New York 12534                        | • Drug-Free Prevention Services (14 NYCRR 1030.3) |
| Chatham Central School District<br>1st Floor<br>Room C-8<br>50 Woodbridge Avenue<br>Chatham, New York 12037 | • Drug-Free Prevention Services (14 NYCRR 1030.3) | Germantown Central School District<br>1st Floor<br>Room 101-C<br>123 Main Street<br>Germantown, New York 12526        | • Drug-Free Prevention Services (14 NYCRR 1030.3) |
| Ichabod Crane Central School District<br>1st Floor<br>2910 Route 9<br>Valatie, New York 12184               | • Drug-Free Prevention Services (14 NYCRR 1030.3) | Taconic Hills Central School District<br>1st Floor<br>Room S-112W<br>53 County Route 11A<br>Crayville, New York 12521 | • Drug-Free Prevention Services (14 NYCRR 1030.3) |