



**Office of Alcoholism and
Substance Abuse Services**

ANDREW M. CUOMO
Governor

**ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,
L.M.S.W.**
Commissioner

December 18, 2015

UPS GROUND

Mr. Mark Lukens
Interim CEO
Behavioral Health Services North, Inc.
22 U.S. Oval, Suite 218
Plattsburgh, New York 12903-5902

Re: Provider #12050
Operating Certificate #171210014
PRU #51294

Dear Mr. Lukens:

Operating Certificate #171210014 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Behavioral Health Services North, Inc. to operate a Part 819 chemical dependence community residential service at 75 Oak Street, Plattsburgh, effective January 1, 2016.

The two year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Behavioral Health Services North, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director

Bureau of Certification and Systems Management

Enclosures

Mr. Mark Lukens
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cc w/encs.: Charles W. Monson
Tim Donovan
Deb Czubak
Lynn DeFruscio
Peter Trout (Clinton County)
Mary Anne Foster-Turnbull (Program Supervisor, Behavioral Health Services
North, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Behavioral Health Services North, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Community Residential

Building:	
Room/ Floor	1st and 2nd Floors
Street Address:	75 Oak Street
City and Zip Code:	Plattsburgh, 12901

Provider Number:	12050
Operating Certificate Number:	1712 10014
Recertification Review Number:	AL15039
Recertification Review Conducted:	7/13/2015 to: 7/15/2015

PRU Number(s): 51294 Capacity: 20

<u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.50	3.70		3 years
▶ Service Management	4.00	3.28		3 years
▶ Facility	3.00	3.27		2 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FISCAL VIABILITY REVIEWS

Term

- Fiscal viability package not submitted.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

Fiscal Viability Levels	
Current Ratio	Total Ratio
Current Assets to Current Liabilities	Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

Current Ratio 1.89 Overall Ratio 1.6 3 year

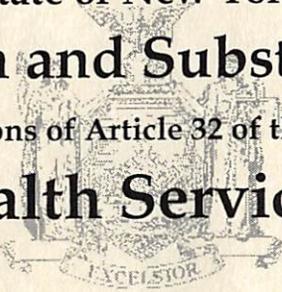
CERTIFICATE TERM

The term for the enclosed Operating Certificate is two years

State of New York
Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Behavioral Health Services North, Inc.



is hereby granted this

**CHEMICAL DEPENDENCE
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

1st and 2nd Floors
75 Oak Street
Plattsburgh, New York 12901-
1805

Program Name(s)

Twin Oaks

Service(s)

- Part 819 Community Residential - 20 beds



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

171210014

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: January 01, 2016
EXPIRATION DATE: December 31, 2017