



NEW YORK STATE  
OFFICE OF ALCOHOLISM  
AND  
SUBSTANCE ABUSE SERVICES  
1450 Western Avenue  
Albany, New York 12203-3526

Eliot Spitzer  
Governor

Karen M. Carpenter-Palumbo  
Commissioner

February 26, 2008

UPS GROUND

Msgr. William O'Brien  
Chief Executive Officer/President  
Daytop Village, Inc.  
Administrative Headquarters  
54 West 40<sup>th</sup> Street, 5<sup>th</sup> Floor  
New York, New York 10018

Re: Operating Certificate #100110027

Dear Monsignor O'Brien:

The enclosed Operating Certificate renews the authorization of Daytop Village, Inc. to operate a Part 819 chemical dependence intensive residential rehabilitation for two years.

This renewed Operating Certificate is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) based on recertification protocols established in accordance with OASAS regulations. The renewal process includes an on-site regulatory compliance review of case records, service management, and facility requirements and general safety; as well as a provider fiscal viability review, if applicable. The resulting compliance ratings serve as the bases for the Operating Certificate renewal term, up to a maximum of three years, as indicated on the enclosed Compliance Summary Sheet. In addition, Daytop Village, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

Each provider operating an OASAS-certified service must display a current Operating Certificate on-site in a location visible to the public. *Please examine the Operating Certificate carefully and contact the Bureau of Certification by March 13, 2008, if the Operating Certificate does not accurately reflect your services and/or locations.*

Sincerely,

  
Virginia Martin  
Director, Bureau of Certification

Enclosures

Msgr. William O'Brien  
Page 2 of 2  
February 26, 2008

cc w/encs.: Charles W. Monson  
Steven Rabinowitz  
Richard Frakes  
Arlene Davison  
Kenneth M. Glatt, Ph.D. (Dutchess County)  
George N. Casale (Board Vice Chair, Daytop Village, Inc.)  
Sharon Degnan (Director, Information Management-Performance Improvement  
Department, Daytop Village, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF LEGAL AFFAIRS -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Daytop Village, Inc.
Certified Program/Service Reviewed:	Intensive Residential Rehabilitation

Building:	Springwood, Fox Run, The Manor House
Room/ Floor	and Meadow Run
Street Address:	Foxhollow Road and Ackert Hook Road
City and Zip Code:	Rhinebeck, 12572

Provider Number:	16810	PRU Number	7165
Operating Certificate Number:	1001 10027	Capacity:	400
Recertification Review Number:	NY07144		
Recertification Review Conducted:	1/23/2008 to: 1/28/2008		

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

<u>Standard Review Area</u>	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.60	3.00		2 years
▶ Service Management	3.64	3.81		3 years
▶ Facility Requirements and General Safety	4.00	3.85		3 years
▶ Red Flag Deficiency(ies)			No	N/A

(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

**FISCAL VIABILITY REVIEWS**

- |                                     |   |                                     |
|-------------------------------------|---|-------------------------------------|
| <input type="checkbox"/>            | 1. Fiscal viability package not submitted.  | 3 months                            |
| <input type="checkbox"/>            | 2. Data received insufficient to determine fiscal viability.  | 3 months                            |
| <input type="checkbox"/>            | 3. Current financial position NOT viable, no acceptable financial recovery plan; and OASAS not considering financial recovery funding.  | 3 months                            |
| <input type="checkbox"/>            | 4. Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 1 year                              |
| <input type="checkbox"/>            | 5. Current financial position NOT viable, no acceptable financial recovery plan; however, OASAS considering financial recovery funding.                                       | 1 year                              |
| <input checked="" type="checkbox"/> | 6. Current financial position viable; however, overall financial position NOT viable.   | 1 year                              |
| <input type="checkbox"/>            | 7. Current and overall financial positions viable.  | Per Recertification Review Results. |
| <input type="checkbox"/>            | 8. Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | Per Recertification Review Results. |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is two years.

The renewal term is determined as follows: If the lowest recertification review score achieved is a 2.51 or higher (Partial or Substantial), the RECERTIFICATION REVIEW RESULTS dictate the renewal term.

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## Daytop Village, Inc.

is hereby granted this

# CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Springwood, Fox Run, The  
Manor House, and Meadow Run  
Foxhollow Road and Ackert  
Hook Road  
Rhinebeck, New York 12572

Program Name(s)

Service(s)

- Part 819 Intensive Residential Rehabilitation - 400  
beds

*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

100110027

CERTIFICATE NUMBER

Renewed



EFFECTIVE DATE: February 01, 2008

EXPIRATION DATE: January 31, 2010