

July 2, 2014

UPS GROUND

Mr. Howard Meitiner  
President and Chief Executive Officer  
Phoenix Houses of New York, Inc.  
164 West 74<sup>th</sup> Street, 4<sup>th</sup> Floor  
New York, New York 10023-2301

Re: Provider #16820  
Operating Certificate #170710036  
[Amended/Renewed]  
PRU #6023

Dear Mr. Meitiner:

Operating Certificate #170710036, issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law, amends and renews the authorization of Phoenix Houses of New York, Inc. to operate a Part 819 chemical dependence intensive residential rehabilitation service, effective August 1, 2014.

The amendment is based on the provider request to reduce the certified capacity from 168 to 130 beds.

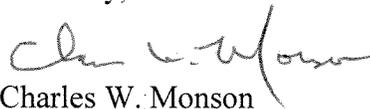
The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Phoenix Houses of New York, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site. Pursuant to 14 NYCRR §810.17, the previously issued Operating Certificate (original document) must be returned to OASAS' Bureau of Certification immediately.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,



Charles W. Monson  
Associate Commissioner  
Quality Assurance and Performance Improvement

Enclosures

Mr. Howard Meitiner

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July 2, 2014

cc w/encs: Janet L. Paloski  
Steven Rabinowitz  
Sheila Roach  
Jeff Emad  
Holly Livingston  
Lynn DeFruscio  
Cynthia Heaney (Delaware County)  
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)  
Gail Goldstein (NYC Dept. of Health and Mental Hygiene)  
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)  
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)  
Wole C. Coaxum (Chairman of the Board of Directors, Phoenix Houses of New  
York, Inc.)  
Alan Hargrove (Director, Phoenix Houses of New York, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Phoenix Houses of New York, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Intensive Residential Rehabilitation

Building:	
Room/ Floor	
Street Address:	Back River Road
City and Zip Code:	South Kortright, 13842

Provider Number:	16820
Operating Certificate Number:	1707 10036
Recertification Review Number:	AL14000
Recertification Review Conducted:	5/7/2014 to: 5/9/2014

PRU Number(s)      6023      Capacity:      130

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.82	3.86		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
1345	Back River Road, South Kortright 13842	ML	20292	6/12/2014	None	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

- |                                     |  |          |
|-------------------------------------|--|----------|
| <input type="checkbox"/>            | Fiscal viability package not submitted.  | 6 months |
| <input type="checkbox"/>            | Data received insufficient to determine fiscal viability.  | 6 months |
| <input type="checkbox"/>            | Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 2 years  |
| <input type="checkbox"/>            | Current financial position viable; however, overall financial position NOT viable.   | 2 years  |
| <input checked="" type="checkbox"/> | Current and overall financial positions viable.  | 3 years  |
| <input type="checkbox"/>            | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## Phoenix Houses of New York, Inc.

is hereby granted this

# CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Back River Road  
South Kortright, New York  
13842-2451

Program Name(s)

Delaware County Center

Service(s)

- Part 819 Intensive Residential Rehabilitation - 130 beds



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

170710036

CERTIFICATE NUMBER

EFFECTIVE DATE: August 01, 2014

Amended/Renewed

EXPIRATION DATE: July 31, 2017