



May 1, 2014

UPS GROUND

Peter Provet, Ph.D.
President and Chief Executive Officer
Odyssey House, Inc.
120 Wall Street, 17th Floor
New York, New York 10005

Re: Provider #19400
Operating Certificate #170510052
PRU #1368, 6817, 7219, and 52031

Dear Dr. Provet:

Operating Certificate #170510052 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Odyssey House, Inc. to operate a Part 819 chemical dependence intensive residential rehabilitation service at 219-233 East 121st Street, New York, effective June 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Odyssey House, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Peter Provet, Ph.D.
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cc w/encs.: Charles W. Monson
Steven Rabinowitz
Reginald Williams
Lynn DeFruscio
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Gail Goldstein (NYC Dept. of Health and Mental Hygiene)
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)
Richard O'Connor (Chairman, Odyssey House, Inc.)
Alan Greenfield (Program Director, Odyssey House, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

| | |
|-------------------------------------|--------------------------------------|
| Provider Legal Name: | Odyssey House, Inc. |
| Active Parent Corporation: | |
| Certified Program/Service Reviewed: | Intensive Residential Rehabilitation |

| | |
|--------------------|---------------------------|
| Building: | |
| Room/ Floor | |
| Street Address: | 219-233 East 121st Street |
| City and Zip Code: | New York, 10035 |

| | |
|-----------------------------------|-------------------------|
| Provider Number: | 19400 |
| Operating Certificate Number: | 1705 10052 |
| Recertification Review Number: | NY13200 |
| Recertification Review Conducted: | 1/21/2014 to: 1/28/2014 |

| | | | |
|---------------|-------|-----------|----|
| PRU Number(s) | 1368 | Capacity: | 45 |
| | 6817 | Capacity: | 67 |
| | 7219 | Capacity: | 60 |
| | 52031 | Capacity: | 68 |

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

| <u>Compliance Scores</u> | <u>Compliance Ratings</u> | <u>Term of Renewed Operating Certificate</u> |
|--------------------------|---------------------------|--|
| --- | Quality Services Review | 9 months |
| --- | Red Flag Deficiency(ies) | 6 months |
| 0 - 1.75 | Noncompliance | 6 months |
| 1.76 - 2.50 | Minimal Compliance | 1 year |
| 2.51 - 3.25 | Partial Compliance | 2 years |
| 3.26 - 4.00 | Substantial Compliance | 3 years |

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

| | <u>Quality Indicator</u> | <u>Overall</u> | <u>Yes/No</u> | <u>Renewal Term</u> |
|---|--------------------------|----------------|---------------|---------------------|
| ▶ Case Records | 3.73 | 3.81 | | 3 years |
| ▶ Service Management | 4.00 | 4.00 | | 3 years |
| ▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review) | | | | |
| ▶ Quality Services Review | | | | n/a |

FACILITY INSPECTION RESULTS

| <u>Site#</u> | <u>Address</u> | <u>Site Type</u> | <u>Ins #</u> | <u>Date</u> | <u>Deficiency</u> | <u>Renewal Term</u> |
|--------------|---|------------------|--------------|-------------|-------------------|---------------------|
| 1443 | 219-233 East 121st Street, New York 10035 | ML | 19426 | 8/23/2013 | CAP Completed | 3 years |

Site Type Codes

| |
|--------------------------|
| ML - Main Location |
| AL - Additional Location |
| Apt - Apartment |

Deficiency Code Examples

| |
|--|
| Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked |
| Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads |
| Notable - any other OASAS or building code violation |

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input checked="" type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM The term for the enclosed Operating Certificate is three years

State of New York
Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
Odyssey House, Inc.

is hereby granted this

**CHEMICAL DEPENDENCE
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of 14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

219-233 East 121st Street
New York, New York 10035-3018

Program Name(s)

Odyssey Manor

Service(s)

- Part 819 Intensive Residential Rehabilitation - 240 Adult beds and up to 60 beds for their children



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170510052

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: June 01, 2014

EXPIRATION DATE: May 31, 2017