



February 26, 2014

UPS GROUND

Ms. Denise L. Cavanaugh
Executive Director
Catholic Charities of the Roman Catholic Diocese of
Syracuse, N.Y. d/b/a Catholic Charities of Utica/Rome
Administrative Office
1408 Genesee Street
Utica, New York 13502

Re: Provider #20560
Operating Certificate #170210057
PRU #50681

Dear Ms. Cavanaugh:

Operating Certificate #170210057 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Catholic Charities of the Roman Catholic Diocese of Syracuse, N.Y. d/b/a Catholic Charities of Utica/Rome to operate a Part 819 chemical dependence community residential service at 1505 Whitesboro Street, Utica, effective March 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Catholic Charities of the Roman Catholic Diocese of Syracuse, N.Y. d/b/a Catholic Charities of Utica/Rome is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Ms. Denise L. Cavanaugh
Page 2 of 2
February 26, 2014

cc w/encs.: Charles W. Monson
Kathy Murphy
Rochelle Cardillo
Gail Keeler
Sara Bollinger (Central New York HSA)
Linda M. Nelson (Oneida County)
Peter Falzarine (President, Board of Directors, Catholic Charities of the Roman
Catholic Diocese of Syracuse, N.Y. d/b/a Catholic Charities of Utica/Rome)
Joyce M. Nebush (Supervisor, Catholic Charities of the Roman Catholic Diocese of
Syracuse, N.Y. d/b/a Catholic Charities of Utica/Rome)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

| | |
|-------------------------------------|---|
| Provider Legal Name: | Catholic Charities of the Roman Catholic Diocese of Syracuse, N.Y. d/b/a Catholic Charities of Utica/Rome |
| Active Parent Corporation: | |
| Certified Program/Service Reviewed: | Community Residential |

| | |
|--------------------|--------------------------|
| Building: | |
| Room/ Floor | Basement, 1st & 2nd Flrs |
| Street Address: | 1505 Whitesboro Street |
| City and Zip Code: | Utica, 13501 |

| | |
|-----------------------------------|-----------------------|
| Provider Number: | 20560 |
| Operating Certificate Number: | 1702 10057 |
| Recertification Review Number: | AL13084 |
| Recertification Review Conducted: | 9/3/2013 to: 9/6/2013 |

PRU Number(s) 50681 Capacity: 16

| <u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u> | | |
|--|---------------------------|--|
| <u>Compliance Scores</u> | <u>Compliance Ratings</u> | <u>Term of Renewed Operating Certificate</u> |
| --- | Quality Services Review | 9 months |
| --- | Red Flag Deficiency(ies) | 6 months |
| 0 - 1.75 | Noncompliance | 6 months |
| 1.76 - 2.50 | Minimal Compliance | 1 year |
| 2.51 - 3.25 | Partial Compliance | 2 years |
| 3.26 - 4.00 | Substantial Compliance | 3 years |

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

| | <u>Quality Indicator</u> | <u>Overall</u> | <u>Yes/No</u> | <u>Renewal Term</u> |
|---|--------------------------|----------------|---------------|---------------------|
| ▶ Case Records | 3.80 | 3.79 | | 3 years |
| ▶ Service Management | 4.00 | 4.00 | | 3 years |
| ▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review) | | | | |
| ▶ Quality Services Review | | | | n/a |

FACILITY INSPECTION RESULTS

| Site# | Address | Site Type | Ins # | Date | Deficiency | Renewal Term |
|-------|---|-----------|-------|------------|---------------|--------------|
| 6721 | Basement, 1st & 2nd Flrs, 1505 Whitesboro Street, Utica 13501 | ML | 19762 | 11/26/2013 | CAP Completed | 3 years |

Site Type Codes

| |
|--------------------------|
| ML - Main Location |
| AL - Additional Location |
| Apt - Apartment |

Deficiency Code Examples

| |
|--|
| Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked |
| Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads |
| Notable - any other OASAS or building code violation |

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input checked="" type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
**Catholic Charities of the Roman Catholic Diocese of
Syracuse, N.Y. d/b/a Catholic Charities of Utica/Rome**

is hereby granted this

**CHEMICAL DEPENDENCE
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Basement, 1st & 2nd Flrs
1505 Whitesboro Street
Utica, New York 13501

Program Name(s)

● Part 819 Community Residential - 16 beds

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170210057

CERTIFICATE NUMBER

EFFECTIVE DATE: March 01, 2014

Renewed

EXPIRATION DATE: February 28, 2017