



January 22, 2015

UPS GROUND

Ms. Elizabeth Smith
Executive Director
Unity House of Cayuga County, Inc.
34 Wright Avenue, Suite C
Auburn, New York 13021-3170

Re: Provider #23570
Operating Certificate #180210068
PRU #51176

Dear Ms. Smith:

Operating Certificate #180210068 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Unity House of Cayuga County, Inc. to operate a Part 819 chemical dependence community residential service at 58 Osborne Street, Auburn, effective March 1, 2015.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Unity House of Cayuga County, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

cc w/encs.: Charles W. Monson
Kathy Murphy
Rochelle Cardillo
Janet Rucki
Raymond Bizzari (Cayuga County)
Cindy Wilcox (Board President, Unity House of Cayuga County, Inc.)
Pamela O'Conner (Program Director, Unity House of Cayuga County, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: Unity House of Cayuga County, Inc.
 Active Parent Corporation: _____
 Certified Program/Service Reviewed: Community Residential

Building: _____
 Room/ Floor: _____
 Street Address: 58 Osborne Street
 City and Zip Code: Auburn, 13021

Provider Number: 23570
 Operating Certificate Number: 1802 10068
 Recertification Review Number: AL14083
 Recertification Review Conducted: 8/26/2014 to: 8/28/2014

PRU Number(s): 51176 Capacity: 16

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.70	3.66		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
7556	58 Osborne Street, Auburn 13021	ML	19541	10/2/2013	None	3 years

Site Type Codes

ML - Main Location
 AL - Additional Location
 Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
 Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
 Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input checked="" type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Unity House of Cayuga County, Inc.

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

58 Osborne Street
Auburn, New York 13021-3612

Program Name(s)

Grace House

Service(s)

● Part 819 Community Residential - 16 beds



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

180210068

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: March 01, 2015

EXPIRATION DATE: February 28, 2018