



October 15, 2014

UPS GROUND

Ms. Patrice Wallace-Moore  
Chief Executive Officer  
Arms Acres, Inc.  
75 Seminary Hill Road  
Carmel, New York 10512-1921

Re: Provider #32412  
Operating Certificate #171010097  
PRU #50795

Dear Ms. Wallace-Moore:

Operating Certificate #171010097 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Arms Acres, Inc. to operate a Part 818 chemical dependence inpatient rehabilitation service at 75 Seminary Hill Road, Carmel, effective November 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Arms Acres, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director

Bureau of Certification and Systems Management

Enclosures

cc w/encs.:

Charles W. Monson  
Kathy Murphy  
Deb Czubak  
Holly Livingston  
Lynn DeFruscio  
Rate Based Provider Unit (DOH)  
Michael J. Piazza (Putnam County)  
William J. Hartigan (President of the Board of Directors, Arms Acres, Inc.)  
Angel Duncan (Program Director Adult Male, Arms Acres, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Arms Acres, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Inpatient Rehabilitation Services

Building:	
Room/ Floor	Basement & 1st Floor - A, B, D and E Wings
Street Address:	75 Seminary Hill Road
City and Zip Code:	Carmel, 10512

Provider Number:	32412
Operating Certificate Number:	1710 10097
Recertification Review Number:	NY14000
Recertification Review Conducted:	7/8/2014 to: 7/10/2014

PRU Number(s) 50795 Capacity: 103

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.72	3.74		3 years
▶ Service Management	4.00	3.89		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
5753	A, B, D and E Wings, Basement & 1st Floor, 75 Seminary Hill Road, Carmel 10512	ML	20178	5/14/2014	None	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

- |                                     |  |          |
|-------------------------------------|--|----------|
| <input type="checkbox"/>            | Fiscal viability package not submitted.  | 6 months |
| <input type="checkbox"/>            | Data received insufficient to determine fiscal viability.  | 6 months |
| <input type="checkbox"/>            | Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 2 years  |
| <input type="checkbox"/>            | Current financial position viable; however, overall financial position NOT viable.   | 2 years  |
| <input checked="" type="checkbox"/> | Current and overall financial positions viable.  | 3 years  |
| <input type="checkbox"/>            | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

**Arms Acres, Inc.**

is hereby granted this

## CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Basement & 1st Floor  
A, B, D and E Wings  
75 Seminary Hill Road  
Carmel, New York 10512-1921

Program Name(s)      Service(s)

- Part 818 Inpatient Rehabilitation Services - 103 beds



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

171010097

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: November 01, 2014

EXPIRATION DATE: October 31, 2017