



**Office of Alcoholism and  
Substance Abuse Services**

ANDREW M. CUOMO  
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,  
L.M.S.W.  
Commissioner

UPS GROUND

October 11, 2016

Mr. James Haid  
Chief Executive Officer  
The Rescue Mission of Utica, N.Y.  
212 Rutger Street  
Utica, New York 13501

Re: Provider #36280  
Operating Certificate #190910155  
PRU #50119

Dear Mr. Haid:

Operating Certificate #190910155 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for The Rescue Mission of Utica, N.Y. to operate a Section 816.9 medically monitored withdrawal & stabilization service, at 210 Lansing Street, Utica, effective October 1, 2016.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

The Rescue Mission of Utica, N.Y.'s Medical Staffing Policy was approved on September 30, 2016. Please refer to Local Services Bulletin No. 2010-01, OASAS Policy Regarding Medical Detoxification and the Use of Medications (2/11/10), prior to making modifications in this staffing policy.

The Rescue Mission of Utica, N.Y. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

Mr. James Haid  
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cc w/encs.: Charles W. Monson  
Belinda Greenfield  
Gregory James  
Tim Donovan  
Rochelle Cardillo  
Cathy Shippey  
Lynn DeFruscio  
Rate Based Provider Unit (DOH)  
Mike Ogborn (DOH)  
Robin O'Brien (Oneida County)  
Thomas W. Wattles (Board President, The Rescue Mission of Utica, N.Y.)  
Marie Elliot (Program Director, The Rescue Mission of Utica, N.Y.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT – BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	The Rescue Mission of Utica, N.Y.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Medically Monitored Withdrawal & Stabilization Services

Building:	
Room/ Floor	
Street Address:	210 Lansing Street
City and Zip Code:	Utica, 13501

Provider Number:	36280
Operating Certificate Number:	1909 10155
Recertification Review Number:	AL16012
Recertification Review Conducted:	3/8/2016 to: 3/10/2016

PRU Number(s): 50119 Capacity: 20

<b><u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u></b>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
--	Quality Services Review	9 months
0 - 1.75	Red Flag Deficiency(ies) Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	6 months
2.51 - 3.25	Partial Compliance	1 year
3.26 - 4.00	Substantial Compliance	2 years
		3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.50	3.79		3 years
▶ Service Management	3.80	3.88		3 years
▶ Facility		3.58		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FISCAL VIABILITY REVIEWS**

**Term**

- Fiscal viability package not submitted.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).

<b>Fiscal Viability Levels</b>	
<b>Current Ratio</b>	<b>Total Ratio</b>
<b>Current Assets to Current Liabilities</b>	<b>Total Assets to Total Liabilities</b>
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

Current Ratio 4.63 Overall Ratio 1.95 3 year

<b><u>CERTIFICATE TERM</u></b>	The term for the enclosed Operating Certificate is <u>three years</u>
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State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## The Rescue Mission of Utica, N.Y.

is hereby granted this

# CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

210 Lansing Street  
Utica, New York 13501-2904

Program Name(s)

Service(s)

- Part 816.9 Medically Monitored Withdrawal & Stabilization Services - 20 beds



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

190910155

CERTIFICATE NUMBER  
Renewed

EFFECTIVE DATE: October 01, 2016  
EXPIRATION DATE: September 30, 2019