OASAS Improving Lives.
NEW YORK STATE
OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES
Addiction Services for Prevention, Treatment, Recovery

Governor
Andrew M. Cuomo

Commissioner
Arlene González-Sánchez, M.S., L.M.S.W.

June 9, 2014

UPS GROUND

Mr. Peter L. Rogers
Executive Director
Transitional Services Association, Inc.
127 Union Street
Saratoga Springs, New York 12866-4411

Re: Provider #50590
Operating Certificate #170610203
PRU #50505

Dear Mr. Rogers:

Operating Certificate #170610203 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Transitional Services Association, Inc. to operate a Part 819 chemical dependence community residential service at 994 Route 67, Ballston Spa, effective July 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet.*

Transitional Services Association, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

cc w/encs.: Charles W. Monson
Kathy Murphy
Tim Donovan
Lynn DeFruscio
Peter Lacy (Saratoga County)
Christopher Obstarczyk (President of the Board, Transitional Services Association, Inc.)
Theresa M. Knorr (Program Director, Transitional Services Association, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name: Transitional Services Association, Inc.
Active Parent Corporation:
Certified Program/Service Reviewed: Community Residential

Building:
Room/ Floor
Street Address: 994 Route 67
City and Zip Code: Ballston Spa, 12020

Provider Number: 50590
Operating Certificate Number: 1706 10203
Recertification Review Number: AL13124
Recertification Review Conducted: 4/8/2014 to: 4/11/2014

PRU Number(s) 50505 Capacity: 16

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

Compliance Scores	Compliance Ratings	Term of Renewed Operating Certificate
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	Quality Indicator	Overall	Yes/No	Renewal Term
►Case Records	3.60	3.80		3 years
►Service Management	4.00	4.00		3 years
►Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
►Quality Services Review				n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
2575	994 Route 67, Ballston Spa 12020	ML	19707	12/4/2013	CAP Completed	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked

Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads

Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

☐	Fiscal viability package not submitted.	6 months
☐	Data received insufficient to determine fiscal viability.	6 months
☐	Current financial position NOT viable; however, an acceptable financial recovery plan submitted.	2 years
☐	Current financial position viable; however, overall financial position NOT viable.	2 years
☑	Current and overall financial positions viable.	3 years
☐	Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28).	n/a

CERTIFICATE TERM The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Transitional Services Association, Inc.

is hereby granted this

CHEMICAL DEPENDENCE

OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of 14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site	Program Name(s)	Service(s)
994 Route 67 Ballston Spa, New York 12020-3603		• Part 819 Community Residential - 16 beds

Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170610203

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: July 01, 2014
EXPIRATION DATE: June 30, 2017