



September 10, 2014

UPS GROUND

Mr. Alan van Capelle
President & CEO
The Educational Alliance, Inc.
197 East Broadway
New York, New York 10002

Re: Provider #70590
Operating Certificate #160910222
PRU #53

Dear Mr. van Capelle:

Operating Certificate #160910222 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for The Educational Alliance, Inc. to operate a Part 819 chemical dependence intensive residential rehabilitation service at 371 East 10th Street, New York, effective October 1, 2014.

The two year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

The Educational Alliance, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Charles W. Monson
Associate Commissioner
Quality Assurance and Performance Improvement

Enclosures

Mr. Alan van Capelle
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cc w/encs.: Janet L. Paloski
Steven Rabinowitz
Reginald Williams
Lynn DeFruscio
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Gail Goldstein (NYC Dept. of Health and Mental Hygiene)
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)
Russell Makowsky (Board Chair, The Educational Alliance, Inc.)
Derek Duggins (Site Director, The Educational Alliance, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	<u>The Educational Alliance, Inc.</u>
Active Parent Corporation:	_____
Certified Program/Service Reviewed:	<u>Intensive Residential Rehabilitation</u>

Building:	_____
Room/ Floor	_____
Street Address:	<u>371 East 10th Street</u>
City and Zip Code:	<u>New York, 10009</u>

Provider Number:	<u>70590</u>
Operating Certificate Number:	<u>1609 10222</u>
Recertification Review Number:	<u>NY13295</u>
Recertification Review Conducted:	<u>5/15/2014 to: 5/20/2014</u>

PRU Number(s) 53 Capacity: 60

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.09	3.48		2 years
▶ Service Management	4.00	3.92		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
2735	371 East 10th Street, New York 10009	ML	19857	2/7/2014	None	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input checked="" type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is two years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

The Educational Alliance, Inc.



is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

371 East 10th Street
New York, New York 10009-4724

Program Name(s)

● Part 819 Intensive Residential Rehabilitation - 60 beds

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

160910222

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: October 01, 2014

EXPIRATION DATE: September 30, 2016