



**Office of Alcoholism and  
Substance Abuse Services**

**ANDREW M. CUOMO**  
Governor

**ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,  
L.M.S.W.**  
Commissioner

June 24, 2015

UPS GROUND

Mr. Norman Dascher, Jr.  
Vice President & CEO  
Seton Health System, Inc.  
2215 Burdett Avenue  
Troy, New York 12180-2466

Re: Provider #83320  
Operating Certificate #180610268  
PRU #50832

Dear Mr. Dascher:

Operating Certificate #180610268 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Seton Health System, Inc. to operate a Section 816.6 medically managed withdrawal & stabilization service, with the use of methadone, at 1300 Massachusetts Avenue, Troy, effective July 1, 2015.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

OASAS received Seton Health System, Inc.'s letter dated June 12, 2015, indicating that no changes have been made to the currently approved Medical Detoxification Protocols. Please refer to Local Services Bulletin No. 2010-01, OASAS Policy Regarding Medical Detoxification and the Use of Medications (2/11/10), prior to making modifications in these protocols.

Seton Health System, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

Mr. Norman Dascher, Jr.  
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cc w/encs.: Charles W. Monson  
Belinda Greenfield  
Gregory James  
Manuel Mosquera  
Tim Donovan  
Sarita Wells  
Lynn DeFruscio  
Rate Based Provider Unit (DOH)  
Mike Ogborn (DOH)  
Nichole Washington (CSAT)  
Jim Place (DEA)  
Katherine Alonge-Coons (Rensselaer County)  
Harold D. Gordon, Esq. (President, Board of Directors, Seton Health System, Inc.)  
Kristin Hansen (Director of Addiction Services, Seton Health System, Inc.)

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Seton Health System, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Medically Managed Withdrawal & Stabilization Services

Building:	
Room/ Floor	4th Floor
Street Address:	1300 Massachusetts Avenue
City and Zip Code:	Troy, 12180

Provider Number:	83320
Operating Certificate Number:	1806 10268
Recertification Review Number:	AL14138
Recertification Review Conducted:	2/10/2015 to: 2/13/2015

PRU Number(s): 50832 Capacity: 10

<u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.56	3.52		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
119	4th Floor, 1300 Massachusetts Avenue, Troy 12180	ML	21060	2/10/2015	None	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

Term

- Fiscal viability package not submitted.
- Data received insufficient to determine fiscal viability.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). N/A

Fiscal Viability Levels

Current Ratio Current Assets to Current Liabilities	Total Ratio Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

- Current Ratio Overall Ratio

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years

State of New York  
**Office of Alcoholism and Substance Abuse Services**

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

**Seton Health System, Inc.**

is hereby granted this

**CHEMICAL DEPENDENCE  
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

4th Floor  
1300 Massachusetts Avenue  
Troy, New York 12180-1628

Program Name(s)

St. Mary's Hospital Campus

Service(s)

- Part 816.6 Medically Managed Withdrawal & Stabilization Services - 10 beds [with the use of methadone]



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

180610268

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: July 01, 2015

EXPIRATION DATE: June 30, 2018