



February 27, 2014

UPS GROUND

Mr. Frank Cracolici
President and Chief Executive Officer
The St. Luke's-Roosevelt Hospital Center
1000 Tenth Avenue, Suite 1A15
New York, New York 10019-1147

Re: Provider #87090
Operating Certificate #170310313
PRU #50208

Dear Mr Cracolici:

Operating Certificate #170310313 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for The St. Luke's-Roosevelt Hospital Center to operate a Part 819 chemical dependence community residential service at 306 West 102nd Street, New York, effective April 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

The St. Luke's-Roosevelt Hospital Center is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Mr. Frank Cracolici

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cc w/encs.: Charles W. Monson

Steven Rabinowitz

Ivan Garcia

Janet Rucki

Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)

Gail Goldstein (NYC Dept. of Health and Mental Hygiene)

Luke Bergmann (NYC Dept. of Health and Mental Hygiene)

Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)

Stephen Hirschberg (Chairman, Board of Trustees, The St. Luke's-Roosevelt
Hospital Center)

Raymond Dooley (Halfway House Manager, The St. Luke's-Roosevelt Hospital
Center)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	The St. Luke's-Roosevelt Hospital Center
Active Parent Corporation:	
Certified Program/Service Reviewed:	Community Residential

Building:	
Room/ Floor:	
Street Address:	306 West 102nd Street
City and Zip Code:	New York, 10025

Provider Number:	87090
Operating Certificate Number:	1703 10313
Recertification Review Number:	NY13160
Recertification Review Conducted:	11/19/2013 to: 11/25/2013

PRU Number(s) 50208 Capacity: 24

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.64	3.82		3 years
▶ Service Management	4.00	3.92		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
572	306 West 102nd Street, New York 10025	ML	19287	6/27/2013	CAP Completed	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|--|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input checked="" type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York
Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
The St. Luke's-Roosevelt Hospital Center



is hereby granted this

**CHEMICAL DEPENDENCE
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

306 West 102nd Street
New York, New York 10025-4931 House

Program Name(s)

St. Luke's Roosevelt Hospital Halfway

Service(s)

● Part 819 Community Residential - 24 beds



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170310313

CERTIFICATE NUMBER

EFFECTIVE DATE: April 01, 2014

Renewed

EXPIRATION DATE: March 31, 2017