



June 16, 2014

UPS GROUND

Ms. Valerie Walters
President and Chief Executive Officer
The Lower East Side Service Center, Inc.
2nd Floor
80 Maiden Lane
New York, New York 10038

Re: Provider #23720
Operating Certificate #160610360
PRU #644

Dear Ms. Walters:

Operating Certificate #160610360 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for The Lower East Side Service Center, Inc. to operate a Part 822-5 opioid treatment program at 46 East Broadway, New York, effective July 1, 2014.

The two year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

The Lower East Side Service Center, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Ms. Valerie Walters
Page 2 of 2
June 16, 2014

cc w/encs.: Charles W. Monson
Steven Rabinowitz
Ivan Garcia
Belinda Greenfield
Gregory James
Holly Livingston
Gail Keeler
Rate Based Provider Unit (DOH)
John Gahan (DOH)
Nichole Washington (CSAT)
Luke Braxton (DEA)
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Gail Goldstein (NYC Dept. of Health and Mental Hygiene)
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)
Patricia A. Hoffman (Board Chair, The Lower East Side Service Center, Inc.)
Richard Condina (Director, Unit 1, The Lower East Side Service Center, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOL AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	The Lower East Side Service Center, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Opioid Treatment Program

Building:	1st, 2nd, 4th and 5th Floors
Room/ Floor	
Street Address:	46 East Broadway
City and Zip Code:	New York, 10002

Provider Number:	23720
Operating Certificate Number:	1606 10360
Recertification Review Number:	NY13231
Recertification Review Conducted:	4/7/2014 to: 4/14/2014

PRU Number(s) 644 Capacity: 490

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
--	Quality Services Review	9 months
--	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.00	3.34		2 years
▶ Service Management	4.00	3.93		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
7248	1st, 2nd, 4th and 5th Floors, 46 East Broadway, New York 10002	ML	20190	5/9/2014	CAP Completed	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input checked="" type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is two years

State of New York
Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
The Lower East Side Service Center, Inc.

is hereby granted this

**CHEMICAL DEPENDENCE
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

1st, 2nd, 4th and 5th Floors
46 East Broadway
New York, New York 10002-6803

Program Name(s)

● Part 822-5 Opioid Treatment Program - 490 patients

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

160610360

CERTIFICATE NUMBER

EFFECTIVE DATE: July 01, 2014

Renewed

EXPIRATION DATE: June 30, 2016