



March 12, 2013

UPS GROUND

Mr. Stuart R. Steiner
President, Executive Director
SES Operating Corp. d/b/a Harlem East Life Plan
2369 Second Avenue
New York, New York 10035-3108

Re: Operating Certificate #160210385

Dear Mr. Steiner:

Operating Certificate #106210385 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for SES Operating Corp. d/b/a Harlem East Life Plan to operate a Part 822-5 opioid treatment program at 2369 Second Avenue, New York, effective March 1, 2013.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

SES Operating Corp. d/b/a Harlem East Life Plan is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Acting Director
Bureau of Certification and Systems Management

Enclosures

Mr. Stuart R. Steiner
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cc w/encs.: Charles W. Monson
Steven Rabinowitz
Ivan Garcia
Belinda Greenfield
Gregory James
Holly Livingston
Janet Rucki
Rate Based Provider Unit (DOH)
John Gahan (DOH)
Nichole Washington (CSAT)
Jim Place (DEA)
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Kathleen Kennedy (NYC Dept. of Health and Mental Hygiene)
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)
Graham Ruff (NYC Dept. of Health and Mental Hygiene)
Joanne King (Administrative Director, SES Operating Corp. d/b/a Harlem East
Life Plan)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

| | |
|-------------------------------------|---|
| Provider Legal Name: | SES Operating Corp. d/b/a Harlem East Life Plan |
| Active Parent Corporation: | |
| Certified Program/Service Reviewed: | Opioid Treatment Program |

| | |
|--------------------|--------------------|
| Building: | |
| Room/ Floor | 1st & 2nd Floors |
| Street Address: | 2369 Second Avenue |
| City and Zip Code: | New York, 10035 |

| | |
|-----------------------------------|------------------------|
| Provider Number: | 550 |
| Operating Certificate Number: | 1602 10385 |
| Recertification Review Number: | NY12105 |
| Recertification Review Conducted: | 2/6/2013 to: 2/13/2013 |

PRU Number(s) 1473 Capacity: 500
 7340 Capacity: 50

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

| <u>Compliance Scores</u> | <u>Compliance Ratings</u> | <u>Term of Renewed Operating Certificate</u> |
|--------------------------|---------------------------|--|
| --- | Quality Services Review | 9 months |
| --- | Red Flag Deficiency(ies) | 6 months |
| 0 - 1.75 | Noncompliance | 6 months |
| 1.76 - 2.50 | Minimal Compliance | 1 year |
| 2.51 - 3.25 | Partial Compliance | 2 years |
| 3.26 - 4.00 | Substantial Compliance | 3 years |

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

| | <u>Quality Indicator</u> | <u>Overall</u> | <u>Yes/No</u> | <u>Renewal Term</u> |
|---|--------------------------|----------------|---------------|---------------------|
| ▶ Case Records | 3.75 | 3.74 | | 3 years |
| ▶ Service Management | 3.73 | 3.83 | | 3 years |
| ▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review) | | | | |
| ▶ Quality Services Review | | | | n/a |

FACILITY INSPECTION RESULTS

| <u>Site#</u> | <u>Address</u> | <u>Site Type</u> | <u>Ins #</u> | <u>Date</u> | <u>Deficiency</u> | <u>Renewal Term</u> |
|--------------|--|------------------|--------------|-------------|-------------------|---------------------|
| 866 | 1st & 2nd Floors, 2369 Second Avenue, New York 10035 | ML | 18318 | 7/24/2012 | None | 3 years |

Site Type Codes

| |
|--------------------------|
| ML - Main Location |
| AL - Additional Location |
| Apt - Apartment |

Deficiency Code Examples

| |
|--|
| Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked |
| Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads |
| Notable - any other OASAS or building code violation |

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|--|----------|
| <input type="checkbox"/> | Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> | Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> | Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> | Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input checked="" type="checkbox"/> | Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

SES Operating Corp. d/b/a Harlem East Life Plan

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

1st & 2nd Floors
2369 Second Avenue
New York, New York 10035-3108

Program Name(s)

Unit II

Service(s)

- Part 822-5 Opioid Treatment Program - 550 patients -
50 of which are Key Extended Entry Program (KEEP)
Patients



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

160210385

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: March 01, 2013

EXPIRATION DATE: February 29, 2016