



**Office of Alcoholism and
Substance Abuse Services**

ANDREW M. CUOMO
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,
L.M.S.W.
Commissioner

October 8, 2015

UPS GROUND

Mr. Arthur Gianelli
President
The St. Luke's-Roosevelt Hospital Center
Suite 1A15
1000 Tenth Avenue
New York, New York 10019-1147

Re: Provider #87090
Conditional Operating Certificate #C161010579
PRU #s 50206, 51750

Dear Mr. Gianelli:

Conditional Operating Certificate #C161010579 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate provides authorization for The St. Luke's-Roosevelt Hospital Center to operate Part 822-4 chemical dependence outpatient and outpatient rehabilitation services at 411 West 114th Street, New York, effective November 1, 2015.

The one year term of this *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

A standard Operating Certificate may be issued upon determination by OASAS that The St. Luke's-Roosevelt Hospital Center's deficiencies noted on the attached compliance summary sheet have been resolved. Such determination will be based on the next scheduled review.

The St. Luke's-Roosevelt Hospital Center is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Mr. Arthur Gianelli
Page 2 of 2
October 8, 2015

cc w/encs.: Charles W. Monson
Steven Rabinowitz
Ivan Garcia
David Herbert
Jane Gifford
Cathy Shippey
John Van Horn
Gail Keeler
Rate Based Provider Unit (DOH)
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Gail Goldstein (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)
Peter May (President of Mount Sinai, The St. Luke's-Roosevelt Hospital Center)
Kajal Gehi (Assistant Clinical Director, The St. Luke's-Roosevelt Hospital Center)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	The St. Luke's-Roosevelt Hospital Center
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Rehabilitation Services

Building:	
Room/ Floor	4th Floor
Street Address:	411 West 114th Street
City and Zip Code:	New York, 10025

Provider Number:	87090
Operating Certificate Number:	1610 10579
Recertification Review Number:	NY15044
Recertification Review Conducted:	6/19/2015 to: 6/25/2015

PRU Number(s): 50206 Capacity:

<u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
--	Quality Services Review	9 months
--	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.54	2.39		1 year
▶ Service Management	3.81	3.93		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
7639	4th Floor, 411 West 114th Street, New York 10025	ML	21155	6/10/2015	None	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

Term

- Fiscal viability package not submitted.
- Data received insufficient to determine fiscal viability.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). N/A

Fiscal Viability Levels

Current Ratio Current Assets to Current Liabilities	Total Ratio Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

- Current Ratio Overall Ratio

CERTIFICATE TERM The term for the enclosed Operating Certificate is one year

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	<u>The St. Luke's-Roosevelt Hospital Center</u>
Active Parent Corporation:	_____
Certified Program/Service Reviewed:	<u>Outpatient Services</u>

Building:	_____
Room/ Floor	<u>4th Floor</u>
Street Address:	<u>411 West 114th Street</u>
City and Zip Code:	<u>New York, 10025</u>

Provider Number:	<u>87090</u>
Operating Certificate Number:	<u>1610 10579</u>
Recertification Review Number:	<u>NY15044</u>
Recertification Review Conducted:	<u>6/19/2015 to: 6/25/2015</u>

PRU Number(s): 51750 Capacity:

<u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
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COMPLIANCE STATUS

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▶ Case Records	<u>2.54</u>	<u>2.39</u>		<u>1 year</u>
▶ Service Management	<u>3.81</u>	<u>3.93</u>		<u>3 years</u>
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
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- Current Ratio Overall Ratio

CERTIFICATE TERM The term for the enclosed Operating Certificate is one year

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

The St. Luke's-Roosevelt Hospital Center

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

4th Floor
411 West 114th Street
New York, New York 10025

Program Name(s)

Trinity House
Trinity House

Service(s)

- Part 822-4 Outpatient Services
- Part 822-4 Outpatient Rehabilitation Services



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

C161010579

CERTIFICATE NUMBER
CONDITIONAL

EFFECTIVE DATE: November 01, 2015
EXPIRATION DATE: October 31, 2016