



**Office of Alcoholism and
Substance Abuse Services**

ANDREW M. CUOMO
Governor

ARLENE GONZÁLEZ-SÁNCHEZ, M.S.,
L.M.S.W.
Commissioner

December 4, 2015

UPS GROUND

Mr. David Sarpino
Chief Executive Officer
HealthAlliance Hospital Broadway Campus
396 Broadway, 2nd Floor
Kingston, New York 12401

Re: Provider #83190
Operating Certificate #171110591
PRU #s 51244 and 51923

Dear Mr. Sarpino:

Operating Certificate #171110591 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for HealthAlliance Hospital Broadway Campus to operate Part 822 chemical dependence outpatient and outpatient rehabilitation services at 10 Barbarossa Lane, Kingston, and including the certified site(s) listed on the Operating Certificate Addendum, effective December 1, 2015.

The two year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

HealthAlliance Hospital Broadway Campus is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director

Bureau of Certification and Systems Management

Enclosures

Mr. David Sarpino
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cc w/encs.: Charles W. Monson
Tim Donovan
Kim Benshoff
Cathy Shippey
Lynn DeFruscio
Rate Based Provider Unit (DOH)
Carol M. Smith, M.D. (Ulster County)
Joanne Fredenberg (Board Chair, HealthAlliance Hospital Broadway Campus)
Allen Nace (Administrative Director of Substance Abuse Services, HealthAlliance
Hospital Broadway Campus)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	HealthAlliance Hospital Broadway Campus
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	
Street Address:	10 Barbarossa Lane
City and Zip Code:	Kingston, 12401

Provider Number:	83190
Operating Certificate Number:	1711 10591
Recertification Review Number:	NY15070
Recertification Review Conducted:	8/19/2015 to: 8/24/2015

PRU Number(s): 51244 Capacity:

<u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
0 - 1.75	Red Flag Deficiency(ies) Noncompliance	6 months 6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.93	3.36		2 years
▶ Service Management	4.00	4.00		3 years
▶ Facility	3.50	3.80		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FISCAL VIABILITY REVIEWS

Term

- Fiscal viability package not submitted.
- Data received insufficient to determine fiscal viability.
- Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). N/A

Fiscal Viability Levels

Current Ratio Current Assets to Current Liabilities	Total Ratio Total Assets to Total Liabilities
.90 to 1.0 = 3 year Certificate	1.0 to 1.0 = 3 year Certificate
.60 to .89 = 2 year Certificate	.60 to .99 = 2 year Certificate
.40 to .59 = 1 year Conditional Certificate	.40 to .59 = 1 year Conditional Certificate
< .40 = 6 month Conditional Certificate	< .40 = 6 month Conditional Certificate

- Current Ratio
- Overall Ratio

CERTIFICATE TERM The term for the enclosed Operating Certificate is two years

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

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Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Rehabilitation Services

Building:	
Room/ Floor	
Street Address:	10 Barbarossa Lane
City and Zip Code:	Kingston, 12401

Provider Number:	83190
Operating Certificate Number:	1711 10591
Recertification Review Number:	NY15070
Recertification Review Conducted:	8/19/2015 to: 8/24/2015

PRU Number(s): 51923 Capacity:

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State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

HealthAlliance Hospital Broadway Campus

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Program Name(s)

Service(s)

10 Barbarossa Lane
Kingston, New York 12401-1220

- Part 822 Outpatient Services
- Part 822 Outpatient Rehabilitation Services

Additional Location(s) Addendum Attached



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

171110591

CERTIFICATE NUMBER
Renewed

EFFECTIVE DATE: December 01, 2015
EXPIRATION DATE: November 30, 2017

State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER 171110591

HealthAlliance Hospital Broadway Campus

CERTIFIED ADDITIONAL LOCATION(S)

As of December 01, 2015

Site

Service(s)

Site

Service(s)

The Bridgeback (North) Program
2905-2 Route 9W
Saugerties, New York 12477-5213

- Part 822 Outpatient Services