

October 7, 2013

UPS GROUND

Mr. Frank Cracolici  
President and Chief Executive Officer  
The St. Luke's-Roosevelt Hospital Center  
1000 Tenth Avenue, Suite 1A15  
New York, New York 10019-1147

Re: Provider #87090  
Operating Certificate #160910596  
PRU #6273, 50259, 51117

Dear Mr. Cracolici:

Operating Certificate #160910596 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for The St. Luke's-Roosevelt Hospital Center to operate Part 822-4 chemical dependence outpatient and outpatient rehabilitation services at 1000 Tenth Avenue, New York, and including the certified site listed on the Operating Certificate Addendum, effective October 1, 2013.

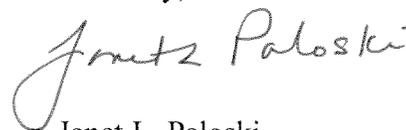
The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

The St. Luke's-Roosevelt Hospital Center is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,



Janet L. Paloski  
Acting Director  
Bureau of Certification and Systems Management

Enclosures

Mr. Frank Cracolici  
Page 2 of 2  
October 3, 2013

cc w/encs.: Charles W. Monson  
Steven Rabinowitz  
Ivan Garcia  
Holly Livingston  
Janet Rucki  
Rate Based Provider Unit (DOH)  
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)  
Kathleen Kennedy (NYC Dept. of Health and Mental Hygiene)  
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)  
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)  
Stephen Hirschberg (Chairman, Board of Trustees, The St. Luke's-Roosevelt  
Hospital Center)  
Joseph Ruggiero (Assistant Clinical Director, The St. Luke's-Roosevelt Hospital  
Center)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	The St. Luke's-Roosevelt Hospital Center
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Rehabilitation Services

Building:	Winston Building
Room/ Floor	8th Floor
Street Address:	1000 Tenth Avenue
City and Zip Code:	New York, 10019

Provider Number:	87090
Operating Certificate Number:	1609 10596
Recertification Review Number:	NY12212
Recertification Review Conducted:	9/3/2013 to: 9/6/2013

PRU Number(s)    6273    Capacity:

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.53	3.55		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies)				
(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
570	Winston Building, 8th Floor, 1000 Tenth Avenue, New York 10019	ML	19401	8/16/2013	None	3 years
6616	432 West 58th Street, New York 10019	AL	19402	8/16/2013	CAP Completed	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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**FISCAL VIABILITY REVIEWS**

- |                                     |  |          |
|-------------------------------------|--|----------|
| <input type="checkbox"/>            | Fiscal viability package not submitted.  | 6 months |
| <input type="checkbox"/>            | Data received insufficient to determine fiscal viability.  | 6 months |
| <input type="checkbox"/>            | Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 2 years  |
| <input type="checkbox"/>            | Current financial position viable; however, overall financial position NOT viable.   | 2 years  |
| <input type="checkbox"/>            | Current and overall financial positions viable.  | 3 years  |
| <input checked="" type="checkbox"/> | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years



NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
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State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## The St. Luke's-Roosevelt Hospital Center

is hereby granted this

# CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Winston Building  
8th Floor  
1000 Tenth Avenue  
New York, New York 10019-1147

Program Name(s)

Service(s)

- Part 822-4 Outpatient Services
- Part 822-4 Outpatient Rehabilitation Services

Additional Location(s) Addendum Attached



*Charles W. Monson*

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

160910596

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: October 01, 2013

EXPIRATION DATE: September 30, 2016

State of New York  
Office of Alcoholism and Substance Abuse Services  
CHEMICAL DEPENDENCE  
ADDENDUM TO OPERATING CERTIFICATE NUMBER 160910596  
**The St. Luke's-Roosevelt Hospital Center**

CERTIFIED ADDITIONAL LOCATION(S)

As of October 01, 2013

Site

432 West 58th Street  
New York, New York 10019-1102

Service(s)

- Part 822-4 Outpatient Services

Site

Service(s)