



September 25, 2014

UPS GROUND

Ms. Denise C. Soares
Executive Director
New York City Health and Hospital Corporation
Harlem Hospital Center
506 Lenox Avenue
New York, New York 10037-1807

Re: Provider #85130
Operating Certificate #170910610
PRU #50316

Dear Ms. Soares:

Operating Certificate #170910610 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for New York City Health and Hospital Corporation Harlem Hospital Center to operate a Part 822-4 chemical dependence outpatient service at 22-44 West 137th Street, New York, effective October 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

New York City Health and Hospital Corporation Harlem Hospital Center is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Charles W. Monson
Associate Commissioner
Quality Assurance and Performance Improvement

Enclosures

Ms. Denise C. Soares
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cc w/encs.: Janet L. Paloski
Steven Rabinowitz
Ivan Garcia
Holly Livingston
Lynn DeFruscio
Rate Based Provider Unit (DOH)
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Gail Goldstein (NYC Dept. of Health and Mental Hygiene)
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)
Ivey Boufford, M.D. (Board Chair, New York City Health and Hospital
Corporation Harlem Hospital Center)
Zafar Sharif, M.D. (Director of Psychiatry, New York City Health and Hospital
Corporation Harlem Hospital Center)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	New York City Health and Hospitals Corporation Harlem Hospital Center
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	4th Floor
Street Address:	22-44 137th Street
City and Zip Code:	New York, 10037

Provider Number:	85130
Operating Certificate Number:	1709 10610
Recertification Review Number:	NY13076
Recertification Review Conducted:	9/10/2014 to: 9/15/2014

PRU Number(s) 50316 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.33	3.40		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
7729	4th Floor, 22-44 137th Street, New York 10037	ML	20599	12/18/2013	None	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|--|----------|
| <input type="checkbox"/> | Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> | Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> | Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> | Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> | Current and overall financial positions viable. | 3 years |
| <input checked="" type="checkbox"/> | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

New York City Health and Hospitals Corporation Harlem Hospital Center

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

4th Floor
22-44 West 137th Street
New York, New York 10037

Program Name(s)

Service(s)

- Part 822-4 Outpatient Services [with ancillary withdrawal services]



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170910610

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: October 01, 2014

EXPIRATION DATE: September 30, 2017