



December 26, 2014

UPS GROUND

Mr. Victor Giulianelli  
President/Chief Executive Officer  
St. Mary's Healthcare  
427 Guy Park Avenue  
Amsterdam, New York 12010-1060

Re: Provider #85350  
*Conditional Operating Certificate #C151210615*  
PRU #50685

Dear Mr. Giulianelli:

*Conditional Operating Certificate #C151210615* is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional Operating Certificate* provides authorization for St. Mary's Healthcare to operate a Part 822-4 chemical dependence outpatient service at 76 Guy Park Avenue, Amsterdam, effective January 1, 2015.

The one year term of this *Conditional Operating Certificate* is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

A standard Operating Certificate may be issued upon determination by OASAS that St. Mary's Healthcare's corrective action plan has been implemented and that this service is operating in compliance with the applicable regulations. Such determination will be based on the next recertification review.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

Mr. Victor Giulianelli  
Page 2 of 2  
December 26, 2014

cc w/encs.: Charles W. Monson  
Kathy Murphy  
Tim Donovan  
David Herbert  
Rick Olm  
Sarita Wells  
John Van Horn  
Gail Keeler  
Rate Based Provider Unit (DOH)  
James Gumaer (Montgomery County)  
Ronald Marsh, M.D. (Chairperson, St. Mary's Healthcare)  
Carol Greco (Director of Addiction Services, St. Mary's Healthcare)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	St. Mary's Healthcare
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor:	
Street Address:	76 Guy Park Avenue
City and Zip Code:	Amsterdam, 12010

Provider Number:	85350
Operating Certificate Number:	1512 10615
Recertification Review Number:	AL14063
Recertification Review Conducted:	8/26/2014 to: 8/29/2014

PRU Number(s): 50685 Capacity:

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	2.38	2.83		1 year
▶ Service Management	3.71	3.92		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
324	76 Guy Park Avenue, Amsterdam 12010	ML	19496	9/17/2013	None	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

- |                                     |  |          |
|-------------------------------------|--|----------|
| <input type="checkbox"/>            | Fiscal viability package not submitted.  | 6 months |
| <input type="checkbox"/>            | Data received insufficient to determine fiscal viability.  | 6 months |
| <input type="checkbox"/>            | Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 2 years  |
| <input type="checkbox"/>            | Current financial position viable; however, overall financial position NOT viable.   | 2 years  |
| <input type="checkbox"/>            | Current and overall financial positions viable.  | 3 years  |
| <input checked="" type="checkbox"/> | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is one year

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

**St. Mary's Healthcare**

is hereby granted this

## CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

76 Guy Park Avenue  
Amsterdam, New York 12010-  
4106

Program Name(s)

● Part 822-4 Outpatient Services

Service(s)



Charles W. Monson

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

C151210615

CERTIFICATE NUMBER  
CONDITIONAL

EFFECTIVE DATE: January 01, 2015  
EXPIRATION DATE: December 31, 2015