



April 15, 2014

UPS GROUND

Ms. Angela Sullivan
Executive Director
Alcohol and Drug Council of Tompkins County, Inc.
201 East Green Street, Suite 500
Ithaca, New York 14850-5635

Re: Provider #36070
Operating Certificate #170410653
PRU #50615

Dear Ms. Sullivan:

Operating Certificate #170410653 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Alcohol and Drug Council of Tompkins County, Inc. to operate a Part 822-4 chemical dependence outpatient service at 201 East Green Street, Ithaca, effective May 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Alcohol and Drug Council of Tompkins County, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Ms. Angela Sullivan
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cc w/encs.: Charles W. Monson
Kathy Murphy
Donna Pagano-Stott
Holly Livingston
Janet Rucki
Rate Based Provider Unit (DOH)
Sue Romanczuk-Smelcer, Ph.D. (Tompkins County)
Mary Leonardo (Board President, Alcohol and Drug Council of Tompkins
County, Inc.)
Michelle Ellis (Clinical Director, Alcohol and Drug Council of Tompkins County,
Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

| | |
|-------------------------------------|---|
| Provider Legal Name: | Alcohol and Drug Council of Tompkins County, Inc. |
| Active Parent Corporation: | |
| Certified Program/Service Reviewed: | Outpatient Services |

| | |
|--------------------|-----------------------|
| Building: | |
| Room/ Floor | 5th Floor - Suite 500 |
| Street Address: | 201 East Green Street |
| City and Zip Code: | Ithaca, 14850 |

| | |
|-----------------------------------|---------------------------|
| Provider Number: | 36070 |
| Operating Certificate Number: | 1704 10653 |
| Recertification Review Number: | AL13105 |
| Recertification Review Conducted: | 11/12/2013 to: 11/14/2013 |

PRU Number(s) 50615 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

| <u>Compliance Scores</u> | <u>Compliance Ratings</u> | <u>Term of Renewed Operating Certificate</u> |
|--------------------------|---------------------------|--|
| --- | Quality Services Review | 9 months |
| --- | Red Flag Deficiency(ies) | 6 months |
| 0 - 1.75 | Noncompliance | 6 months |
| 1.76 - 2.50 | Minimal Compliance | 1 year |
| 2.51 - 3.25 | Partial Compliance | 2 years |
| 3.26 - 4.00 | Substantial Compliance | 3 years |

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

| | <u>Quality Indicator</u> | <u>Overall</u> | <u>Yes/No</u> | <u>Renewal Term</u> |
|---|--------------------------|----------------|---------------|---------------------|
| ▶ Case Records | 3.62 | 3.58 | | 3 years |
| ▶ Service Management | 4.00 | 4.00 | | 3 years |
| ▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review) | | | | |
| ▶ Quality Services Review | | | | n/a |

FACILITY INSPECTION RESULTS

| <u>Site#</u> | <u>Address</u> | <u>Site Type</u> | <u>Ins #</u> | <u>Date</u> | <u>Deficiency</u> | <u>Renewal Term</u> |
|--------------|---|------------------|--------------|-------------|-------------------|---------------------|
| 2174 | Suite 500, 5th Floor, 201 East Green Street, Ithaca 14850 | ML | 19544 | 10/1/2013 | None | 3 years |

Site Type Codes

| |
|--------------------------|
| ML - Main Location |
| AL - Additional Location |
| Apt - Apartment |

Deficiency Code Examples

| |
|--|
| Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked |
| Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads |
| Notable - any other OASAS or building code violation |

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input checked="" type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York
Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
Alcohol and Drug Council of Tompkins County, Inc.

is hereby granted this

CHEMICAL DEPENDENCE
OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

5th Floor
Suite 500
201 East Green Street
Ithaca, New York 14850-5635

Program Name(s) Service(s)

- Part 822-4 Outpatient Services



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170410653

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: May 01, 2014

EXPIRATION DATE: April 30, 2017