



September 17, 2014

UPS GROUND

Mr. Daniel J. Shubsda  
President  
Northpointe Council, Inc.  
800 Main Street, Suite 2A  
Niagara Falls, New York 14301-1143

Re: Provider #38020  
Operating Certificate #170910656  
PRU #50083

Dear Mr. Shubsda:

Operating Certificate #170910656 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Northpointe Council, Inc. to operate a Part 822-4 chemical dependence outpatient service at 41 Main Street, Lockport, and including the certified site listed on the Operating Certificate Addendum, effective October 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Northpointe Council, Inc. is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Charles W. Monson  
Associate Commissioner  
Quality Assurance and Performance Improvement

Enclosure

Mr. Daniel J. Shubsda  
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cc w/encs.: Charles W. Monson  
Kathy Murphy  
Patrick Morrison  
Holly Livingston  
Janet Rucki  
Rate Based Provider Unit (DOH)  
Michael White (Niagara County)  
James Niland (Board Chair, Northpointe Council, Inc.)  
Carrie Clare (Site Coordinator, Northpointe Council, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Northpointe Council, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	Lockview Plaza
Room/ Floor	Second Floor - Suite 201
Street Address:	41 Main Street
City and Zip Code:	Lockport, 14094

Provider Number:	38020
Operating Certificate Number:	1709 10656
Recertification Review Number:	AL14021
Recertification Review Conducted:	4/8/2014 to: 4/10/2014

PRU Number(s)    50083    Capacity:

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.31	3.31		3 years
▶ Service Management	4.00	3.92		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
4042	6395 Old Niagara Road, Lockport 14094	AL	12953	5/21/2008	None	3 years
2270	Lockview Plaza, Suite 201, Second Floor, 41 Main Street, Lockport 14094	ML	19016	4/25/2013	CAP Completed	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

- |   |          |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted.  | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability.  | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 2 years  |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable.   | 2 years  |
| <input checked="" type="checkbox"/> Current and overall financial positions viable.   | 3 years  |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years

State of New York  
**Office of Alcoholism and Substance Abuse Services**  
Pursuant to the provisions of Article 32 of the Mental Hygiene Law  
**Northpointe Council, Inc.**

is hereby granted this

**CHEMICAL DEPENDENCE  
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Lockview Plaza  
Second Floor  
Suite 201  
41 Main Street  
Lockport, New York 14094-3662

Program Name(s)      Service(s)

- Part 822-4 Outpatient Services

Additional Location(s) Addendum Attached



Charles W. Monson

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

170910656

CERTIFICATE NUMBER  
Renewed

EFFECTIVE DATE: October 01, 2014  
EXPIRATION DATE: September 30, 2017

State of New York  
Office of Alcoholism and Substance Abuse Services  
CHEMICAL DEPENDENCE  
ADDENDUM TO OPERATING CERTIFICATE NUMBER 170910656  
**Northpointe Council, Inc.**

CERTIFIED ADDITIONAL LOCATION(S)

As of October 01, 2014

Site

H.G. Lewis Campus School  
6395 Old Niagara Road  
Lockport, New York 14094-1421

Service(s)

- Part 822-4 Outpatient Services

Site

Service(s)