



August 26, 2013

UPS GROUND

Mr. Michael Dailey
Chief Executive Officer
Daytop Village, Inc.
Administrative Headquarters
104 West 40th Street, 3rd & 4th Floor
New York, New York 10018-3617

Re: *Conditional* Operating Certificate #C140810760

Dear Mr. Dailey:

Conditional Operating Certificate #C140810760 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional* Operating Certificate provides authorization for Daytop Village, Inc. to operate a Part 822-4 Chemical Dependence Outpatient Treatment Program, at 500 8th Avenue, New York, effective September 1, 2013.

The one year term of this *Conditional* Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet* and current fiscal issues.

A standard Operating Certificate may be issued upon determination by OASAS that Daytop Village, Inc.'s corrective action plan has been implemented and that this service is operating in compliance with the applicable regulations. Such determination will be based on the next recertification review.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Acting Director
Bureau of Certification and Systems Management

Enclosures

Mr. Michael Dailey
Page 2 of 2
August 26, 2013

cc w/encs.: Charles W. Monson
Steven Rabinowitz
Kathy Murphy
Gideon Rabino
Deb Czubak
David Herbert
Rick Olm
Holly Livingston
Ilyana Meltzer
Steve Mantor
Janet Rucki
Rate Based Provider Unit (DOH)
Hillary Kunins, MD, MPH, MS (NYC Dept. of Health and Mental Hygiene)
Kathleen Kennedy (NYC Dept. of Health and Mental Hygiene)
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)
Arthur Hazelwood (President, Board of Directors, Daytop Village, Inc.)
Cheryl Nazario (Managing Director, Daytop Village, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Daytop Village, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	3rd Floor
Street Address:	500 8th Avenue
City and Zip Code:	New York, 10018

Provider Number:	16810
Operating Certificate Number:	1408 10760
Recertification Review Number:	NY12213
Recertification Review Conducted:	8/1/2013 to: 8/6/2013

PRU Number(s) 7070 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	1.83	2.44		1 year
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

<u>Site#</u>	<u>Address</u>	<u>Site Type</u>	<u>Ins #</u>	<u>Date</u>	<u>Deficiency</u>	<u>Renewal Term</u>
1319	3rd Floor, 500 8th Avenue, New York 10018	ML	16323	10/19/2010	CAP Completed	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | | |
|-------------------------------------|--|----------|
| <input type="checkbox"/> | Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> | Data received insufficient to determine fiscal viability. | 6 months |
| <input checked="" type="checkbox"/> | Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> | Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> | Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM The term for the enclosed Operating Certificate is one year

State of New York
Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
Daytop Village, Inc.

is hereby granted this

**CHEMICAL DEPENDENCE
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

3rd Floor
500 8th Avenue
New York, New York 10018-4142

Program Name(s)

● Part 822-4 Outpatient Services

Service(s)



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

CT140810760

CERTIFICATE NUMBER

CONDITIONAL

EFFECTIVE DATE: September 01, 2013

EXPIRATION DATE: August 31, 2014