



September 11, 2013

UPS GROUND

Mr. Michael Dailey
Chief Executive Officer
Daytop Village, Inc.
Administrative Headquarters
3rd & 4th Floors
104 West 40th Street
New York, New York 10018-3617

Re: *Conditional Operating Certificate #C140810762*

Dear Mr. Dailey:

Conditional Operating Certificate #C140810762 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This *Conditional Operating Certificate* provides authorization for Daytop Village, Inc. to operate a Part 822-4 chemical dependence outpatient service at 1915 Forest Avenue, Staten Island, effective September 1, 2013, through August 31, 2014.

A Standard Operating Certificate may be issued upon determination by OASAS that Daytop Village, Inc. meets OASAS minimum fiscal viability standards.

Daytop Village, Inc. is responsible for complying with applicable building occupancy limitations and security any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Acting Director
Bureau of Certification and Systems Management

Enclosures

Mr. Michael Dailey
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cc w/encs: Charles W. Monson
Steven Rabinowitz
Gideon Rabino
Stephen Mantor
David Herbert
Rick Olm
Holly Livingston
Ilyana Meltzer
Gail Keeler
Rate Based Provider Unit (DOH)
Hillary Kunins, M.D. (NYC Dept. of Health and Mental Hygiene)
Kathleen Kennedy (NYC Dept. of Health and Mental Hygiene)
Luke Bergmann (NYC Dept. of Health and Mental Hygiene)
Norma Carmona-Rodriguez (NYC Dept. of Health and Mental Hygiene)
Arthur Hazelwood (President of the Board of Directors, Daytop Village, Inc.)
Dawn French (Clinical Director, Daytop Village, Inc.)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Daytop Village, Inc.
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	Basement & 1st Floor
Street Address:	1915 Forest Avenue
City and Zip Code:	Staten Island, 10303

Provider Number:	16810
Operating Certificate Number:	1408 10762
Recertification Review Number:	NY13006
Recertification Review Conducted:	8/19/2013 to: 8/22/2013

PRU Number(s) 7072 Capacity:

RECERTIFICATION REVIEW COMPLIANCE RATING TABLE

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

- ▶ Case Records
- ▶ Service Management
- ▶ Red Flag Deficiency(ies)

(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)

- ▶ Quality Services Review

<u>COMPLIANCE STATUS</u>			
<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
2.85	3.18		2 years
4.00	3.78		3 years

n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
1308	Basement & 1st Floor, 1915 Forest Avenue, Staten Island 10303	ML	18673	1/24/2013	CAP Completed	3 years

Site Type Codes

- ML - Main Location
- AL - Additional Location
- Apt - Apartment

Deficiency Code Examples

- Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
- Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
- Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|---|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input checked="" type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is two years

State of New York

Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

Daytop Village, Inc.

is hereby granted this

CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Basement & 1st Floor
1915 Forest Avenue
Staten Island, New York 10303-
2127

Program Name(s)

Staten Island Outreach Center

Service(s)

● Part 822-4 Outpatient Services



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

C140810762

CERTIFICATE NUMBER
CONDITIONAL

EFFECTIVE DATE: September 01, 2013
EXPIRATION DATE: August 31, 2014