



June 4, 2014

UPS GROUND

Ms. Cynthia Heaney
Director of Community Services
Delaware County Department of Mental Health
1 Hospital Road
Walton, New York 13856

Re: Provider #70050
Operating Certificate #170510764
PRU #50402

Dear Ms. Heaney:

Operating Certificate #170510764 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Delaware County Department of Mental Health to operate a Part 822-4 chemical dependence outpatient service at 34570 State Highway 10, Hamden, and including the certified sites listed on the Operating Certificate Addendum, effective June 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Delaware County Department of Mental Health is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski
Director
Bureau of Certification and Systems Management

Enclosures

Ms. Cynthia Heaney
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cc w/encs.: Charles W. Monson
Kathy Murphy
Rochelle Cardillo
Holly Livingston
Gail Keeler
Rate Based Provider Unit (DOH)
Mary Spilde (Chair of Community Services Board, Delaware County
Department of Mental Health)
Christopher Kemp (Director, Delaware County Department of Mental Health)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

Provider Legal Name:	Delaware County Department of Mental Health
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	Human Resources Building
Room/ Floor	1st Floor - Suite 5
Street Address:	34570 State Highway 10
City and Zip Code:	Hamden, 13782

Provider Number:	70050
Operating Certificate Number:	1705 10764
Recertification Review Number:	AL13113
Recertification Review Conducted:	5/20/2014 to: 5/23/2014

PRU Number(s) 50402 Capacity:

<u>RECERTIFICATION REVIEW COMPLIANCE RATING TABLE</u>		
<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

RECERTIFICATION REVIEW RESULTS

COMPLIANCE STATUS

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.46	3.47		3 years
▶ Service Management	4.00	4.00		3 years
▶ Red Flag Deficiency(ies)				
(For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

FACILITY INSPECTION RESULTS

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
4528	Foresman Hall, State University College of Technology at Delhi, Delhi 13753	AL				n/a
2622	Human Resources Building, Suite 5, 1st Floor, 34570 State Highway 10, Hamden 13782	ML	18717	2/6/2013	None	3 years
4526	Margaretville Memorial Hospital, 1st Floor, Conference Room, 42084 State Highway 28, Margaretville 12455	AL	17777	1/12/2012	None	3 years
4529	Sergeant Henry Johnson Youth Leadership Academy, 1st Floor, Route 10, South Kortright 13842	AL	7691	5/12/2004	None	3 years
7524	Room 222, 2nd Floor, 21 Liberty Street, Sidney 13838	AL	19694	10/17/2013	None	3 years

Site Type Codes

ML - Main Location
AL - Additional Location
Apt - Apartment

Deficiency Code Examples

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

FISCAL VIABILITY REVIEWS

- | | |
|--|----------|
| <input type="checkbox"/> Fiscal viability package not submitted. | 6 months |
| <input type="checkbox"/> Data received insufficient to determine fiscal viability. | 6 months |
| <input type="checkbox"/> Current financial position NOT viable; however, an acceptable financial recovery plan submitted. | 2 years |
| <input type="checkbox"/> Current financial position viable; however, overall financial position NOT viable. | 2 years |
| <input type="checkbox"/> Current and overall financial positions viable. | 3 years |
| <input checked="" type="checkbox"/> Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a |

CERTIFICATE TERM

The term for the enclosed Operating Certificate is three years

State of New York
Office of Alcoholism and Substance Abuse Services
Pursuant to the provisions of Article 32 of the Mental Hygiene Law
Delaware County Department of Mental Health



is hereby granted this

**CHEMICAL DEPENDENCE
OPERATING CERTIFICATE**

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

Human Resources Building
1st Floor
Suite 5
34570 State Highway 10
Hamden, New York 13782-1120

Program Name(s)

Delaware County Alcohol and Drug
Services

Service(s)

● Part 822-4 Outpatient Services

Additional Location(s) Addendum Attached



Charles W. Monson

CHARLES W. MONSON
ASSOCIATE COMMISSIONER

170510764

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: June 01, 2014

EXPIRATION DATE: May 31, 2017

State of New York

Office of Alcoholism and Substance Abuse Services

CHEMICAL DEPENDENCE

ADDENDUM TO OPERATING CERTIFICATE NUMBER 170510764

Delaware County Department of Mental Health

CERTIFIED ADDITIONAL LOCATION(S)

As of June 01, 2014

Site

Margaretville Memorial Hospital
1st Floor, Conference Room
42084 State Highway 28
Margaretville, New York 12455-2820

Sergeant Henry Johnson Youth
Leadership Academy
1st Floor
Route 10
South Kortright, New York 13842-2043

Service(s)

● Part 822-4 Outpatient Services

● Part 822-4 Outpatient Services

Site

State University College of Technology
at Delhi
Foresman Hall
Delhi, New York 13753-1144

2nd Floor
Room 222
21 Liberty Street
Sidney, New York 13838-1246

Service(s)

● Part 822-4 Outpatient Services

● Part 822-4 Outpatient Services