



July 24, 2014

UPS GROUND

Ms. Jeanne M. Unger  
Executive Director  
Farnham, Inc. d/b/a Farnham Family Services  
283 West 2<sup>nd</sup> Street, Suite 200  
Oswego, New York 13126-3812

Re: Provider #15660  
Operating Certificate #170710782  
PRU #687

Dear Ms. Unger:

Operating Certificate #170710782 is issued by the Office of Alcoholism and Substance Abuse Services (OASAS) pursuant to the New York State Mental Hygiene Law. This Operating Certificate renews authorization for Farnham, Inc. d/b/a Farnham Family Services to operate a Part 822-4 chemical dependence outpatient service at 283 West 2<sup>nd</sup> Street, Oswego, effective August 1, 2014.

The three year term of the Operating Certificate is based on the scores identified on the attached *Operating Certificate Renewal Compliance Summary Sheet*.

Farnham, Inc. d/b/a Farnham Family Services is responsible for complying with applicable building occupancy limitations and securing any necessary approvals from other governmental entities.

This Operating Certificate is to be prominently displayed at the service site.

The Mental Hygiene Law requires services to be operated in accordance with the terms of the Operating Certificate. Therefore, please carefully examine this certificate and notify the Bureau of Certification immediately if it contains any inaccuracies.

Sincerely,

Janet L. Paloski  
Director  
Bureau of Certification and Systems Management

Enclosures

Ms. Jeanne M. Unger  
Page 2 of 2  
July 24, 2014

cc w/encs.: Charles W. Monson  
Kathy Murphy  
Rochelle Cardillo  
Holly Livingston  
Lynn DeFruscio  
Rate Based Provider Unit (DOH)  
Nicole Kolmsee (Oswego County)  
Carol Alnutt (Board of Directors/President, Farnham, Inc. d/b/a Farnham Family  
Services)  
Mary Jo Proietta-Halpern (Clinical Director, Farnham, Inc. d/b/a Farnham Family  
Services)

**NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES  
DIVISION OF QUALITY ASSURANCE AND PERFORMANCE IMPROVEMENT -- BUREAU OF CERTIFICATION**

**OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET**

Provider Legal Name:	Farnham, Inc. d/b/a Farnham Family Services
Active Parent Corporation:	
Certified Program/Service Reviewed:	Outpatient Services

Building:	
Room/ Floor	1st Floor
Street Address:	283 West 2nd Street
City and Zip Code:	Oswego, 13126

Provider Number:	15660
Operating Certificate Number:	1707 10782
Recertification Review Number:	AL14005
Recertification Review Conducted:	3/18/2014 to: 3/20/2014

PRU Number(s)      687      Capacity:

**RECERTIFICATION REVIEW COMPLIANCE RATING TABLE**

<u>Compliance Scores</u>	<u>Compliance Ratings</u>	<u>Term of Renewed Operating Certificate</u>
---	Quality Services Review	9 months
---	Red Flag Deficiency(ies)	6 months
0 - 1.75	Noncompliance	6 months
1.76 - 2.50	Minimal Compliance	1 year
2.51 - 3.25	Partial Compliance	2 years
3.26 - 4.00	Substantial Compliance	3 years

**RECERTIFICATION REVIEW RESULTS**

**COMPLIANCE STATUS**

	<u>Quality Indicator</u>	<u>Overall</u>	<u>Yes/No</u>	<u>Renewal Term</u>
▶ Case Records	3.62	3.44		3 years
▶ Service Management	3.43	3.69		3 years
▶ Red Flag Deficiency(ies) (For specific Red Flag Deficiency(ies), please refer to Program Review Report issued at conclusion of Recertification Review)				
▶ Quality Services Review				n/a

**FACILITY INSPECTION RESULTS**

Site#	Address	Site Type	Ins #	Date	Deficiency	Renewal Term
1223	1st Floor, 283 West 2nd Street, Oswego 13126	ML	20289	6/11/2014	CAP Completed	3 years

**Site Type Codes**

ML - Main Location
AL - Additional Location
Apt - Apartment

**Deficiency Code Examples**

Red Flag - imminent structural failure; fire service sprinkler system disabled; or a required exit that is permanently blocked
Significant - pathways to exits blocked; fire alarm systems in trouble mode; or broken stair treads
Notable - any other OASAS or building code violation

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OPERATING CERTIFICATE RENEWAL - COMPLIANCE SUMMARY SHEET

**FISCAL VIABILITY REVIEWS**

- |                                     |  |          |
|-------------------------------------|--|----------|
| <input type="checkbox"/>            | Fiscal viability package not submitted.  | 6 months |
| <input type="checkbox"/>            | Data received insufficient to determine fiscal viability.  | 6 months |
| <input type="checkbox"/>            | Current financial position NOT viable; however, an acceptable financial recovery plan submitted.   | 2 years  |
| <input type="checkbox"/>            | Current financial position viable; however, overall financial position NOT viable.   | 2 years  |
| <input checked="" type="checkbox"/> | Current and overall financial positions viable.  | 3 years  |
| <input type="checkbox"/>            | Fiscal viability determination not applicable, given the service provider is a governmental entity or New York State Department of Health certified hospital (Article 28). | n/a      |

**CERTIFICATE TERM**

The term for the enclosed Operating Certificate is three years

State of New York

# Office of Alcoholism and Substance Abuse Services

Pursuant to the provisions of Article 32 of the Mental Hygiene Law

## Farnham, Inc. d/b/a Farnham Family Services

is hereby granted this

### CHEMICAL DEPENDENCE OPERATING CERTIFICATE

authorizing the operation of Chemical Dependence Services, in compliance with the requirements of  
14 NYCRR Parts 800 and 814 and the laws and regulations of the State of New York

Site

1st Floor  
283 West 2nd Street  
Oswego, New York 13126-3812

Program Name(s)

● Part 822-4 Outpatient Services

Service(s)



Charles W. Monson

CHARLES W. MONSON  
ASSOCIATE COMMISSIONER

170710782

CERTIFICATE NUMBER

Renewed

EFFECTIVE DATE: August 01, 2014

EXPIRATION DATE: July 31, 2017